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1 **Centralised electricity production from winter cereals biomass grown under central-**  
2 **northern Spain conditions: Global warming and energy yield assessments**

3  
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13  
14 **Abstract**

15 The goal of this paper is to assess the sustainability of electricity production from winter cereals  
16 grown in one of the most important Spanish agricultural areas, Castilla y León Region, situated  
17 in central-northern Spain. This study analyses greenhouse gases (GHG) emissions and energy  
18 balances of electricity production in a 25 MWe power plant that was powered using straw  
19 biomass from three annual winter cereals (rye, triticale and oat) grown as dedicated energy  
20 crops. The results of these analyses were compared with those of electricity produced from  
21 natural gas in Spanish power plants. Assessments were performed using a wide range of  
22 scenarios, mainly based on the biomass yield variability obtained in demonstration plots of  
23 twelve different winter cereal genotypes. Demonstration plots were established in two different  
24 locations (provinces of Soria and León) of the Castilla y León Region during two crop seasons  
25 (2009/2010 and 2010/2011) using common management practices and input rates for rain-fed  
26 agriculture in these regions. Our results suggest that production of electricity from winter  
27 cereals biomass combustion yielded considerable reductions in terms of GHG emissions when  
28 compared to electricity from natural gas. Nevertheless, the results show that low biomass yields  
29 that are relatively frequent for Spanish farmers on low productivity lands may produce no  
30 significant reductions in GHG in comparison with electricity from natural gas. Consequently,  
31 the agronomic management of winter cereals should be re-examined in order to find potential  
32 improvements that achieve better energy balances and greater reductions in GHG emissions on  
33 land which is relatively uncompetitive in terms of crop yields and on existing low productivity  
34 scenarios.

35  
36 **Keywords:** biomass; electricity; greenhouse gases; global warming potential; energy balance;  
37 winter cereals; annual crops; life cycle assessment.

## 38 **1. Introduction**

39 Climate change coupled with declining oil and gas reserves has led to the development of new  
40 energy sources to minimize greenhouse gases (GHG) emissions and expand energy supplies  
41 from solar, wind, hydraulic, geothermal and bioenergy sources [1]. The European Union (EU)  
42 Member States have committed themselves to increasing the share of renewable energy in the  
43 EU's energy mix to 20% and reducing GHG emissions by 20% by 2020 [2]. Furthermore, with  
44 the goal of keeping climate change below 2°C, in February 2011 the European Council  
45 reaffirmed the EU objective of achieving by 2050 a reduction in GHG emissions of 80-95%  
46 with respect to the 1990 figures [3].

47 Solid and liquid biofuels are renewable energy sources that reduce GHG emissions [1,4-9], and  
48 may contribute to guarantee fuel security and play an important role in the accomplishment of  
49 those EU objectives. According to a study published by the European Environment Agency in  
50 the EU 27 [10], about 19 Mha of agricultural land could be available for introduction of energy  
51 crops for biofuels production under sustainable conditions, with a primary biomass potential of  
52 295 Mtoe by 2030. Therefore energy crops would become the most important endogenous  
53 source for biofuels production. Lignocellulosic energy crops for heat and electricity production  
54 may perform better in GHG assessments when compared with crops used as feedstock for first  
55 generation liquid biofuels [11-14]. Algae liquid biofuels may also have good GHG assessments  
56 [15] due to high production yields [16,17], but they are not yet economically attractive [15].

57 In Spain, traditional extensive cereal area for food production has decreased by about 1.5  
58 million hectares after 1991. Low profitability of winter cereals at the farm level in Spain is  
59 chiefly due to low crop productivity with a national average grain yield of 2.2 ton/ha [18]. This  
60 could be particularly relevant for large extensions of arable lands in continental Mediterranean  
61 countries like Spain, with relatively low rain-fed cereal competitiveness compared to other food  
62 suppliers like France, Germany or eastern European countries. Both current international market  
63 contexts and European Common Agricultural Policy (CAP) reforms have led to total  
64 dependency of farmers on EU subsidies to guarantee minimum profits [19]. Past studies have  
65 suggested that Spanish agri-food system is inefficient and generates high environmental impacts  
66 [20,21].

67 In the described framework, winter cereals lands previously devoted to food production could  
68 be used to grow bioenergy crops lands and produce lignocellulosic biomass for electricity  
69 generation; that would help reducing current national energy dependence and accomplishing EU  
70 objectives of GHG reduction and increased share of renewable energies. Large scale  
71 experiences with triticale as feedstock for Spanish biomass power plants have already been  
72 reported in several projects [22] with good results. Nevertheless, environmental impacts of this  
73 alternative and, in particular, GHG emissions reduction, should be evaluated, as recommended  
74 by the European Commission as regards solid biomass production for electricity generation,

75 heating and cooling [23].

76 Therefore, the aim of this study is to evaluate the energy balances and net reductions in GHG  
77 emissions that can be obtained in the production of electricity from the biomass of annual cereal  
78 crops in central-northern Spain, one of the most extensive agricultural areas in the country, and  
79 compare them with those obtained in Spanish power plants based on natural gas. Barriers and  
80 trends to improve the sustainability of this source of energy are also discussed. The  
81 environmental management technique selected to evaluate GHG savings and energy balances  
82 was Life Cycle Assessment (LCA).

83

## 84 **2. Experimental plots design, biomass productivity and characterization.**

85 In order to obtain experimental data for LCAs inventories (see **3**), winter cereals biomass was  
86 produced in demonstration plots utilizing traditional farming management techniques in two  
87 different locations of the cereal extensive production region in central-northern Spain (Region  
88 of Castilla y León). The plots were situated in the provinces of Soria and León.

89 Several varieties of Oat (*Avena sativa* L.), lopsided oat (*Avena strigosa* Schreb.), triticale (*X*  
90 *Triticosecale* Wittmack), and conventional and hybrid rye (*Secale cereale* L.) were selected for  
91 study due to their expected high biomass productivity, rusticity, as well as wide distribution,  
92 machinery availability and well known management practices in the region. The trials were  
93 carried out on large grass strips (0.2-0.4 ha/strip) in real farmers' plots. In order to establish the  
94 crops, commercial machinery was used to carry out typical farmers' management techniques.  
95 More detailed information about the experimental design, location and pedo-climatic conditions  
96 in the plot sites is shown in **Table 1**. Plots were established and monitored in agricultural years  
97 2009-2010 and 2010-2011. Oat and lopsided oat plots were only established in 2009-2010 at the  
98 site of León (see also **Table 2**). In general, only one plot per tested specie, variety and location  
99 was implemented, but for some best suited varieties, according to indications of farmers, two  
100 plots were established.

101 Conventional and hybrid rye were grown separately due to the different sowing doses needed  
102 for the establishment; henceforth no other distinction was be made between conventional and  
103 hybrid rye types.

104

105  
106

**Table 1**

Experimental plots design

1. Locations	León		Soria	
Coordinates	42° 24' N 5°31' W		41° 29' N 2°23' W	
Altitude	763 m		1079 m	
2. Soil type	Distric cambisol		Calcaric cambisol	
Texture	Sandy clay loam		Sandy loam	
Organic matter	1.82 %		0.90 %	
4. Plot type and size	Strips of 0.3-0.4 ha per variety		Strips of 0.2-0.3 ha per variety	
3. Experimental periods	2009-2010	2010-2011	2009-2010	2010-2011
4. Climate	Continental mediterranean	Continental mediterranean	Continental mediterranean	Continental mediterranean
Average temperature	8.7°C	11.0°C	9.0°C	9.9°C
Total rainfall	517 mm	482 mm	482 mm	386 mm
5. Winter Cereals (cultivar)	Oat (Aintre, Prevision) Lopsided Oat (Saia) Rye (Petkus) Hybrid rye (Gutino, Placido) Triticale (Amarillo, Sencozac, Trujillo)	Triticale (Trujillo)	Rye (Petkus) Hybrid rye (Askari) Triticale (Bienvenue, Colegial, Trimour, Trujillo)	Rye (Petkus) Hybrid rye (Askari) Triticale (Bienvenue, Colegial, Trimour, Trujillo)
6. Crop Management				
Seeding dose (kg ha <sup>-1</sup> )	Oat (130) Lopsided oat (90) Rye (165) Hybrid rye (60) Triticale (185)	Triticale (240)	Rye (120) Hybrid rye (60) Triticale (250)	Rye (120) Hybrid rye (60) Triticale (250)
Fertilization (kg ha <sup>-1</sup> )	NPK 8-15-15 (400) Calcium ammonium nitrate 27 % N (300)	NPK 8-15-15 (500) Calcium ammonium nitrate 27 % N (200)	NPK 8-24-8 (300) Calcium ammonium nitrate 27 % N (270)	NPK 8-24-8 (300) Calcium ammonium nitrate 27 % N (270)
Herbicides (Kg ha <sup>-1</sup> )	Clortoluron (0.720) Diflufenican (0.045)	Clortoluron (0.720) Diflufenican (0.045)	2,4-D (0,25) Tribenuron-methyl (0.016)	2,4-D (0,25) Tribenuron-methyl (0.016)

107

108 In order to assess the environmental and energy-generation performance of winter cereals'  
109 biomass as solid fuel for electricity, the productivity and characterization (calorific value, ash  
110 content) of each separated genotype was measured in the experimental plots.

111 **Table 2** shows the locations, species and varieties established, the period of development and  
112 the biomass production achieved in the study plots.

113

**Table 2**

Winter cereals biomass productivity

Place	Species	Genotype	Aerial Biomass Yield [odt/ha]	
			2009-2010	2010-2011
León	Oat	Aintre	3.06	
		Prevision	4.45	
	Lopsided Oat	Saia	5.66, 6.28 <sup>a</sup>	
		Gutino	5.37	
	Rye	Petkus	7.42	
		Placido	6.59	
	Triticale	Amarillo	7.55, 7.84 <sup>a</sup>	
Seconzac		7.17, 7.57 <sup>a</sup>		
Trujillo		6.20, 6.63 <sup>a</sup>	4.60	
Soria	Rye	Askari	11.32	8.53
		Petkus	10.22	7.74
	Triticale	Bienvenue	9.36	9.62
		Colegial	9.53	6.20
		Trimour	7.69	10.25
		Trujillo	7.05	6.83

116 <sup>a</sup> Aerial Biomass Yield of genotypes tested in two plots are separated by comma.

117

118 Characterization results of the biomass obtained in the experimental plots are shown in **Table 3**,  
119 according data reported by Ruth et al. [24,25]. The net heating value at constant pressure is also

120 given at 12% humidity content in the biomass (wet basis) since it is the humidity of the biomass  
 121 at which efficiency and emission factors of the considered biomass power plant have been  
 122 referenced (see later point **3.3.1. (2)**).

123

124

125

**Table 3**

Biomass composition and energy content

Species	C [%]	H [%]	N [%]	S [%]	Cl [%]	NHV <sub>cp,0</sub> <sup>a</sup> [MJ/kg, db <sup>c</sup> ]	NHV <sub>cp,12</sub> <sup>b</sup> [MJ/kg, wb <sup>d</sup> ]
Oat	44.60	6.10	1.22	0.11	0.72	16.78	14.48
Lopsided Oat	44.60	6.00	1.12	0.10	0.80	16.71	14.41
Rye	45.95	6.10	0.88	0.09	0.09	17.07	14.73
Triticale	44.65	6.08	0.79	0.08	0.07	16.61	14.32

126 <sup>a</sup> Net Heating Value at constant pressure and 0 % of water content.

127 <sup>b</sup> Net Heating Value at constant pressure and 12 % of water content

128 <sup>c</sup> Dry Basis

129 <sup>d</sup> Wet Basis

130

131 The energy equivalent in the biomass of each cereal variety tested per hectare is expressed as  
 132 the result of multiplying the crop yield (see **Table 2**) at 12% humidity by the net calorific value  
 133 at 12% humidity (see **Table 3**). Electricity production per hectare is the product of this value by  
 134 the net efficiency of the process of biomass conversion into electricity in the considered  
 135 biomass power plant (see **3.3.1. (2)**). This calculation suggests that under the conditions tested  
 136 between 18 ha and 67 ha are needed to produce 1 TJ of electricity (values for the highest and the  
 137 lowest yielding trials).

138

### 139 **3 Energy and environmental assessment methodology**

140

#### 141 **3.1. Goal, scope and evaluation of data sources and tools**

142 LCA was the methodology selected to determine the energetic and environmental performance  
 143 (GHG emissions) of winter cereals biomass for electricity generation in Spain and its  
 144 comparison with the Spanish natural gas system as reference.

145 LCA involves a systematic set of procedures for compiling and examining the inputs and  
 146 outputs of materials and energy and the associated environmental impacts directly attributable to  
 147 the functioning of a product or service system throughout its life cycle [26]. This environmental  
 148 management tool is regulated by ISO 14040 [26] and ISO 14044 [27] standards, and according  
 149 to them, LCAs should follow four steps: (1) goal and definition, (2) inventory analysis, (3)  
 150 impact assessment and (4) interpretation.

151 As mentioned in section **2**, all information concerning biomass production has been obtained  
 152 from the plots described in **Table 1**, whilst data on biomass conversion have been obtained from  
 153 a 25MWe existing straw power plant.

154 Natural gas, one of the cleanest fossil energy sources for electricity generation, has been chosen  
 155 as the reference system [28].

156 Simapro 7.2 [29] software tool and the Ecoinvent 2.2 [30,31] European database have been used

157 to conduct the LCAs in this study.

### 158 3.2. Functional unit

159 The functional unit chosen has been 1 TJ of electrical energy generated from winter cereals  
160 biomass for the studied system and from natural gas as the reference system. This amount of  
161 electrical energy is a round number corresponding to about 12 hours of operation of the 25  
162 MWe power plant taken as a reference for this study (see 3.3.1. (2)).

163

### 164 3.3. Systems description

165

#### 166 3.3.1. Bioenergy systems

167 The bioenergy systems analyzed included three subsystems: (1) cereal biomass production  
168 (agricultural phase), (2) power generation, and (3) transport. In the power generation subsystem  
169 natural gas is consumed as an auxiliary fuel in the biomass power plant. **Fig. 1** summarises the  
170 processes included in these bioenergy systems.

171 (1) Cereal biomass production subsystem (agricultural phase): The agricultural phase was  
172 defined by the crop management practices followed, the machinery used, and the agricultural  
173 raw materials (seeds, fertilizers, pesticides) utilised.

174 This information is shown in **Table 4** for the León case study and **Table 5** for Soria. For some  
175 field operations (e.g. biomass collection) fuel consumption depends on the productivity of the  
176 plot. Maximum, minimum, and (in parentheses) average fuel consumption are shown in **Table 4**  
177 and **Table 5**.

178

179 **Table 4**

180 Farming operations for León's case study

Operation	Tractor / Mower		Implement			
	Weight [kg]	Power [kW]	Type	Weight [kg]	Operating rate [h/ha]	Fuel consumption [L/ha]
Primary tillage	7000	125	Plough	3000	1.34	30.9
Secondary tillage	7000	125	Harrow	2000	0.81	16.1
Base fertilization	7000	125	Spreader	400	0.54	4.3
Sowing	7000	125	Seeder	300	1.80	28.2
Herbicide treatment	7000	125	Boom sprayer	471	0.36	2.9
Top fertilization	7000	125	Spreader	400	0.54	4.3
Mowing <sup>a</sup>	13500	213	Self propelled mower	NA	0.97-0.65 (0.81) <sup>b</sup>	18.4-12.2 (15.3) <sup>b</sup>
Swathing	7000	125	Wheel rake	800	0.4	3.2
Baling <sup>a</sup>	4500	81	Baling packer	1700	0.97-0.65 (0.81) <sup>b</sup>	10.6-7.8 (9.7) <sup>b</sup>
Loading Bales <sup>a</sup>	7000	125	Loader	585	0.65-0.43 (0.54) <sup>b</sup>	3.8-2.6 (3.2) <sup>b</sup>

181 <sup>a</sup> Field work fuel consumption and operating rates depend on the harvest yield.

182 <sup>b</sup> Maximum-Minimum (Average) values.

183

184

**Table 5**

185

Farming operations for Soria's case study

Operation	Tractor		Implement			
	Weight [kg]	Power [kW]	Type	Weight [kg]	Operating rate [h/ha]	Fuel consumption [L/ha]
Primary tillage	7100	103	Chisel	700	0.44	20.0
Secondary tillage	7100	103	Cultivator	640	0.29	12.0
Base fertilization	5800	81	Spreader	450	0.13	4.0
Sowing	7100	103	Seeder	800	0.60	12.0
Herbicide treatment	5800	81	Boom sprayer	471	0.13	4.0
Top fertilization	5800	81	Spreader	450	0.13	4.0
Mowing <sup>a</sup>	5800	81	Mower	150	0.79-0.53 (0.69) <sup>b</sup>	18.6-11.6 (15.0) <sup>b</sup>
Swathing	5800	81	Wheel rake	800	0.2	4
Baling <sup>a</sup>	7185	136	Baling packer	1700	0.58-0.38 (0.50) <sup>b</sup>	14.9-9.2 (12.0) <sup>b</sup>
Loading Bales <sup>a</sup>	7185	136	Platform	9300	0.58-0.38 (0.50) <sup>b</sup>	5.9-4.1 (5.0) <sup>b</sup>

186

<sup>a</sup> Field work fuel consumption and operating rates depend on the harvest yield.

187

<sup>b</sup> Maximum-Minimum (Average) values.

188

189

(2) Power generation subsystem: As already mentioned, all the data used to model the biomass power plant system are real data from a 25 MWe straw power plant located in Sangüesa (Navarra - northern Spain) operated by the Spanish company Acciona Energía S.A. This plant consumes biomass at an average humidity of 12% and produces electricity with an average net conversion efficiency of 29.5%. The plant consumes natural gas as auxiliary fuel, particularly for start-up pre-heating, and produces ash and slag from biomass as solid residues. The average consumption of natural gas and the production of ash and slag per kilogram of straw biomass are shown in **Table 6**. Given the similar composition, it has been assumed that the studied biomasses will produce the same amounts of ash and slag as the straw used in the power plant, as well as the same emissions factors and conversion efficiency.

199

200

**Table 6**

201

Biomass power plant consumptions and residues

Items	Amount
Natural gas consumption [MJ/Kg Wet Biomass ]	0.0342
Slag production [g/Kg Wet Biomass ]	82.47
Ashes production [g/Kg Wet Biomass ]	8.25

202

203

The power plant's air emissions are online submitted to the regional authorities and they are public. Emissions, as any other data about the power plant parameters utilised in this study, have directly been supplied to the authors by the power plant officers. **Table 7** shows an average of the power plant air emissions data. The emissions accounted for in the assessments are only those which affect the global warming potential (GWP). Carbon dioxide emitted from biomass combustion has not been considered because it was previously fixed from the air by the crop.

209

210

211

212

**Table 7:**  
Biomass power plant aerial emissions

Substance	Combustion Origin	Amount [g/Kg Wet Biomass Burned]
Fossil carbon dioxide	Natural gas	1.94
Nitrogen oxides	Biomass	1.63
Carbon monoxide	Biomass	0.92
Sulphur dioxide	Biomass	0.32
Particulates	Biomass	0.24

213

214 (3) Transport subsystem: The transport system is summarized in **Table 8**. This table shows all  
215 modes of transport used, the distances between the origin and destination points and the energy  
216 consumption for every unit mass of material transported in the LCAs.

217 The means of transportation and distances for the transport of agricultural inputs to the regional  
218 storehouse are taken from the Ecoinvent database [32]. The distance from the regional  
219 storehouse to experimental plots was 10 km approximately, for both locations. Biomass, ash and  
220 slag means of transport and average distances were provided by the company in charge of the  
221 power plant operation.

222

223

**Table 8**  
Transport system summary

Material	From	To	Distance [km]	Vehicle	Energy Consumption [MJ/ kg of Material]
Seed	Field	Processing center	30 km	Lorry 20-28t	0.098
	Processing center	Regional storehouse	100 km	Lorry 20-28t	0.327
	Regional storehouse	Demonstration plot	10 km	Lorry 16-32t	0.028
Fertilizers and herbicides	Manufacturer	Regional storehouse	600 km	Train	0.450
		Demonstration plot	100 km	Lorry >16t	0.226
	Regional storehouse	Demonstration plot	10 km	Lorry 16-32t	0.028
Biomass	Demonstration plot	Biomass plant	60 km	Lorry 16-32t	0.166
Ash and slag	Biomass power plant	Disposal site	37 km	Lorry 16-32t	0.102

224

### 225 3.3.2. Natural gas system

226 The natural gas system includes the gas field operations for extraction, the losses, the emissions,  
227 and the purification carried out by the main exporter countries of natural gas to Spain (Algeria  
228 73% and Norway 27%). Furthermore, the energy consumption, losses and emissions resulting  
229 from the long distances involved and the local transportation of gas to a power plant in Spain  
230 are included in the inventory. Finally, the substances needed as well as the average efficiency of  
231 Spanish natural gas power plants are also taken into account [28].

232

### 233 3.4. Life cycle inventory structure

234 The inventories used to consider natural gas [28] consumption of the biomass power plant and  
235 the transportation [32] of agricultural inputs, biomass and power plant residues are taken from  
236 Ecoinvent.

237 The methods used for the inventory analysis of the agricultural system mainly follow those  
238 proposed in the life cycle inventories of agricultural production systems [32]. For the  
239 calculation of N<sub>2</sub>O emissions we follow the guidelines of the RSB GHG Calculation  
240 Methodology v 2.0 [33].

241

#### 242 **3.4.1. Fertilizers production**

243 Fertilizer inventories consider the various steps in the production process, such as the use of raw  
244 materials and semi-finished products, the energy used in the process, the transportation of raw  
245 materials and intermediate products, and the relevant emissions [34].

246 The inventories of calcium ammonium nitrate production are obtained from Ecoinvent database  
247 [35]. No inventories are given in Ecoivent for multi-nutrient fertilizers due to the number of  
248 different possible methods of mixing nitrogen, phosphorous and potassium compounds to  
249 produce NPK fertilizers [35]. The modelling of NPK fertilizer inventories has been  
250 approximated by combining inventories of single fertilizers - the quantity of which is  
251 determined by the multi-nutrient fertilizer specific contents of N, P<sub>2</sub>O<sub>5</sub> and K<sub>2</sub>O - as well as the  
252 form of the nitrogen provided (ammonium, nitrate or urea) [35].

253

#### 254 **3.4.2. Pesticides production**

255 The inventory data related to emissions, energy and substance consumption in the production of  
256 the herbicides sprayed is taken from Ecoinvent [36]. The quantities of active substances (see  
257 **Table 1**) are taken from the formulations of the commercial herbicides used.

258

#### 259 **3.4.3. Seed production**

260 Seed cultivation techniques and crop management for winter cereals have similar inputs  
261 compared to grain production. The only possible difference we found was the usage of gravity  
262 irrigation but we assumed that its contribution in terms of fossil energy and GWP was not  
263 significant since it does not use pumping and no fossil energy is needed for maintenance and  
264 operation. Irrigation takes place normally during late winter and early spring. Fertilization and  
265 machinery operations inputs for seed production of the selected genotypes was assumed to be  
266 similar compared to all cultivation techniques considered in the two study regions. Seed  
267 producers and suppliers often contract farmers in highly productive areas. Thus, yield outputs  
268 for seed production at the farm level were assumed to be 3.0 odt/ha for oat and lopsided oat, 5.5  
269 odt/ha for triticale and non hybrid rye and 4.4 odt/ha for hybrid rye genotypes. The yield output  
270 for seed production of hybrid rye is lower than the yield for conventional rye as consequence of  
271 its specials conditions of seed production. These figures were all based on personal  
272 communication with seed producers and suppliers in Spain.

273 The energy consumption for cleaning, drying, seed dressing, and bag filling of the cereal seed in

274 the processing plant has been estimated in 32.8 kWh/odt [37].

275

#### 276 **3.4.4. Diesel consumption and combustion emissions of agricultural machinery**

277 The diesel consumption of agricultural machinery is obtained for each trial as can be seen in  
278 **Table 5** or **Table 6** depending on the plot location. The inventories for the extraction and  
279 transportation of crude oil, its transformation into diesel, and its distribution, are taken from  
280 Ecoinvent [38]. The diesel exhaust emissions from agricultural machinery engines are also  
281 taken into account [39].

282

#### 283 **3.4.5. Agricultural machinery manufacture**

284 The inventories for agricultural machinery manufacture are specific to the various types of  
285 machinery (tractors, harvesters, tillage implements or other implements).

286 The amount of machinery (AM) consumed for carrying out a specific agricultural operation was  
287 calculated by multiplying the weight (W) of the machinery by the operation time (OT) and  
288 dividing the result by the lifetime of the machinery (LT) [34]:

289

$$290 \text{ AM [kg/FU]} = \text{W[kg]} \cdot \text{OT[h/FU]} / \text{LT[h]};$$

291 where FU (See 3.2.) is the functional unit of the LCA. The lifetime of the machinery considered  
292 for these case studies was a Spanish average for each type of machinery [40].

293

#### 294 **3.4.6. Field and fertilizer derived emissions (Nitrous oxide emissions)**

295 There is debate about the methodologies [33,41,42] and factors that should be taken into  
296 account in the estimation of N<sub>2</sub>O emissions. This debate has been referred to in several bioenergy  
297 life cycle assessments [1,43,44] and reviews [13,45-47] given its critical importance when  
298 assessing GWP reductions [48]. To provide a good estimation of N<sub>2</sub>O emissions we follow the  
299 RSB GHG Calculation Methodology v 2.0 [33], as it reflects the present state of research [49]  
300 and is based on methods which provide detail and consistency.

301 The calculation of N<sub>2</sub>O emissions proposed by the RSB [33] is based on the formula in Nemecek  
302 et Kägi [32] and adopts the new IPCC guidelines [42]:

303

$$304 \text{ N}_2\text{O} = 44/28 \cdot (\text{EF}_1 \cdot (\text{N}_{\text{tot}} + \text{N}_{\text{cr}}) + \text{EF}_4 \cdot 14/17 \cdot \text{NH}_3 + \text{EF}_5 \cdot 14/62 \cdot \text{NO}_3);$$

305 where:

306 N<sub>2</sub>O = emissions of N<sub>2</sub>O [kg N<sub>2</sub>O/ha].

307 44/28 = conversion of N-N<sub>2</sub>O in N<sub>2</sub>O.

308 EF<sub>1</sub> = 0.01 (IPCC proposed factor [42]).

309 N<sub>tot</sub> = total nitrogen input [kg N/ha].

310 N<sub>cr</sub> = nitrogen contained in the crop residues [kg N/ha].

311  $EF_4 = 0.01$  (IPCC proposed factor [42]).  
312  $NH_3$  = losses of nitrogen in the form of ammonia [kg  $NH_3$ /ha]. Calculated as proposed in the  
313 RSB [33] and Nemecek et Kägi [32] methodologies.  
314  $14/17$ = conversion of kg  $NH_3$  in kg  $NH_3$ -N.  
315  $EF_5 = 0.0075$  (IPCC proposed factor [42]).  
316  $14/62$ = conversion of kg  $NO_3$ . in kg  $NO_3$ -N.  
317  $NO_3$ . = losses of nitrogen in the form of nitrate [kg  $NO_3$ /ha]. They were estimated by using the  
318 RSB formula [33], which takes into account nitrogen supply and uptake, soil and crop  
319 characteristics, and local rainfall.

320

### 321 **3.4.7. Carbon fixation into the soil**

322 A rough estimation has been made of the  $CO_2$  that is fixed by rhizo-deposits and remains in the  
323 soil. The estimation consists of calculating the total amount of carbon assimilated, knowing that  
324 3% of this amount remains in the soil for the case study [50]. The carbon assimilated by the  
325 aerial part of the cereals in these trials is estimated by multiplying the crops' productivity (see  
326 **Table 2**) by their carbon content (see **Table 3**). By doubling this amount, the total amount of  
327 carbon assimilated is obtained, since the carbon content of the aerial part is considered to be half  
328 of the total carbon assimilated (Aerial part, roots, fixed carbon and respiration) [50]. Finally the  
329 estimated amount of fixed  $CO_2$  is 3% of the previous quantity multiplied by the mass ratio  
330  $CO_2/C$ . This calculation mode is applied for all the results that include estimations of  $CO_2$   
331 fixation.

332

### 333 **3.4.8. Land use changes**

334 Direct land used change (DLUC) is not relevant to this study because the plots selected for the  
335 study were previously winter cereal crop land. Indirect land use change (ILUC) is a complex  
336 process that is not fully understood by the scientific community [1].The factors used in its  
337 estimation are highly variable and frequently subject to changes in assumptions [51]. Historical  
338 analyses show that models may be overestimating ILUC emissions [51]. Furthermore, we  
339 assumed that in Spain most land available for winter cereal cultivation in order to produce  
340 electricity is actually marginal. Thus, it would not compete with food production. For these  
341 reasons ILUC have not been included in this study.

342

### 343 **3.5. Life cycle impact assessment**

344 Life Cycle Impact Assessment (LCIA) is the phase in a LCA where the inputs and outputs of  
345 elementary flows that have been collected and reported in the inventory are translated into  
346 impact indicator results [52].

347 LCIA includes mandatory and optional steps. The mandatory steps of classification and

348 characterization were carried out and the normalization and weighting optional steps were  
349 avoided in order to make results more comparable and free from the effects of value choices.  
350 In the classification steps, elementary flows were assigned to the one or more impact categories  
351 to which they contribute. In the characterization steps, each quantitative characterization factor  
352 was assigned to all elementary flows of the inventory for the categories that have been included  
353 in the classification [52].

354

### 355 **3.5.1. Environmental impact assessment method**

356 The impact assessment method of IPCC 2007 [53] was chosen to assess the 100 years time  
357 horizon Global Warming Potential (GWP) with the Simapro 7.2 software tool [29]. This method  
358 calculates the cumulative radiative forcing caused by a unit mass emission of a GHG, integrated  
359 over a 100 year time horizon, as compared with the cumulative radiative forcing due to emission  
360 of a unit mass of carbon dioxide (CO<sub>2</sub>) over the same time horizon.

361

### 362 **3.5.2. Energy assessment method**

363 In order to assess the energy consumed to generate electricity from winter cereal biomass and  
364 from natural gas, the Cumulative Energy Requirement Analysis (CERA) method [54] was  
365 chosen. This method aims to calculate the energy use throughout the life cycle of a good or  
366 service [54]. Primary fossil energy (FOSE) has been obtained by using this method.

367

## 368 **4. Results**

369

### 370 **4.1. Global warming potential assessment**

371 The results of global warming potential (GWP) of the are presented in **Fig. 2**, where the ratios  
372 of CO<sub>2</sub>eq emission units per generated electricity energy unit [Mg CO<sub>2</sub>eq/TJ electricity] are  
373 related to the aerial biomass yield obtained in each corresponding trial. The results are  
374 represented by hollow shapes when the CO<sub>2</sub> fixation by rhizo-deposits is considered, and by  
375 filled shapes when this parameter is not included. Biomass production at the farm level was the  
376 main stage contributing to the GWP generated by electricity production from biomass. Crop  
377 genotypes and biomass yields were the main drivers of GWP whereas sites and experimental  
378 periods appeared to have lesser influence.

379 As shown in the **Fig. 2**, the aerial biomass yield obtained in each trial was strongly ( $r^2 = 0.96$ )  
380 negatively correlated with the amount of total CO<sub>2</sub>eq emissions per energy unit produced. Oat  
381 and lopsided oat produced lower yield and consequently had higher CO<sub>2</sub> emissions compared to  
382 rye and triticale. When CO<sub>2</sub> fixation in the soil was taken into account, it resulted in lower  
383 emissions of approximately 20 Mg CO<sub>2</sub>eq per TJ of electricity for all the different biomass  
384 aerial yields obtained. Both tendency curves appear to have a lower horizontal asymptote since

385 some phases of the assessment, such as biomass transportation and power plant operation and  
386 emissions, are independent from yields.

387 In **Fig. 3** the percentages of CO<sub>2</sub>eq savings obtained when biomass emissions are compared to  
388 those of Spanish natural gas power plants are shown for each corresponding trial yield [odt]. As  
389 in **Fig. 2** the results with or without CO<sub>2</sub> fixation by rhizo-deposits are presented.

390 Aerial biomass productivity correlated positively with respect to CO<sub>2</sub>eq savings, suggesting that  
391 genotype selection determined larger differences in CO<sub>2</sub>eq emitted. For example, oat and  
392 lopsided oat trials obtained less than 25% and 45% in savings respectively (when CO<sub>2</sub> fixation  
393 was not considered). Higher yields corresponding to rye and triticale trials obtained greater  
394 CO<sub>2</sub>eq savings. However, the range of variation of savings was wider for these crops than for  
395 the two oat species due to the higher number of trials and their variability regarding campaigns  
396 and locations. Triticale and rye productions which went from 4.5 odt/ha to 12 odt/ha produced  
397 GHG savings ranging from 30% to 75%.

398 There is a constant difference of about 15% higher savings obtained for every trial when rhizo-  
399 deposits fixation was included.

400 The European Commission recommends taking into account for solid and gaseous biofuels [23]  
401 the same binding sustainability criteria established by the RED [55] for liquid biofuels.  
402 Following this recommendation, we have assumed that sustainable electricity production from  
403 winter cereal biomass should require at least 60 % of savings compared to Spanish natural gas  
404 electricity, as this is the percentage required by the RED for liquid biofuels after 2017.  
405 Consequently we considered that electricity production from winter cereal biomass should  
406 produce savings of at least 60%. According data shown in **Fig. 3**, such savings require yields  
407 higher than 6.5 odt/ha if CO<sub>2</sub> fixation is taken into account and yields above 8 odt/ha if CO<sub>2</sub>  
408 fixation is not considered. We obtained CO<sub>2</sub>eq savings over those thresholds for five out of  
409 eight rye trials and for five out of fifteen triticale trials when fixation was excluded. When  
410 fixation was considered, seven out of eight rye trials and thirteen out of fifteen triticale trials  
411 were within the limit. None of the oat or lopsided oat trials was close to achieving CO<sub>2</sub>eq  
412 savings of 60% even considering CO<sub>2</sub> fixation. However, when aerial biomass yields tend to  
413 infinity, CO<sub>2</sub>eq savings tendency curves reach a plateau at 88 % when fixation is included and  
414 at 73% when fixation is excluded.

415 In order to be able to make comparisons between sites, the average GWP per energy unit of  
416 electricity produced [Mg CO<sub>2</sub>eq/TJ electricity] was calculated in **Fig. 4** for Soria and León  
417 provinces. The GWP of Spanish natural gas electricity production was also included to enable  
418 comparisons between biomass electricity production systems and the fossil reference for  
419 electricity production. Both bioenergy systems (Soria and León) produced less GWP than the  
420 Spanish natural gas system, with 63% and 41% less GWP, respectively, for Soria and León

421 average scenarios (**Fig. 4**). Other works with Ethiopian mustard (*Brassica carinata* L.) as  
422 dedicated biomass energy crop grown in Spain for electricity production, considering for the  
423 conversion phase the same biomass power plant as in this assessment, obtained savings up to  
424 33% [4].

425 While GWP from biomass power plant operation and biomass transport was very similar for  
426 both bioenergy systems, the consumption of raw materials and the agricultural emissions were  
427 respectively 55% and 60% higher for León. Also, the GWP derived of machinery use was  
428 considerably higher for León. This last circumstance can be explained due to the faster  
429 operating rate [h/ha] of Soria farming operations compared to León ones (**Table 4** and **Table 5**).  
430 Farming operations were carried out more rapidly in Soria because of the lighter texture of the  
431 soil and the larger working width of non-tillage implements.

432 The GWP share for the phases considered in the inventory is shown in **Fig. 5** for Soria and León  
433 average biomass systems. The agricultural phase was the factor which contributed most to  
434 GWP, and included the last seven phases listed in **Fig. 5** (from diesel combustion emissions to  
435 fertilizer production and transportation). It accounts for 94.2% and 96.3% of the GWP  
436 depending on the case study. In contrast, the rest of the phases corresponding to the transport of  
437 the biomass, power plant emissions, consumption and disposals accounted only for 6.8% and  
438 4.7% of the GWP for both provinces' average scenarios.

439 With respect to the agricultural phase, fertilizer production and transportation, and the emissions  
440 derived from its application, accounted for 76.4% and 75.2% of total GWP respectively. These  
441 results are consistent with those found in previous research in Spain [4,56] and other countries  
442 in Europe [22]. Nitrous oxide (N<sub>2</sub>O) fertilizer derived emissions accounted, approximately, for  
443 30% of total GWP in both locations.

444

#### 445 **4.2. Energy yield assessment**

446 The results shown in **Fig. 6** suggest that productivity, obtained from the different cereal  
447 genotypes studied, is positively correlated with electricity generation energy yields  
448 (output/input), which ranged from 1.1 to 3.7 for biomass productivities ranging from 3.6 odt/ha  
449 to 11.3 odt/ha. Energy yields from oat trials were considerably lower (1.1 and 1.3) compared to  
450 those of lopsided oat (1.6 and 1.8), rye (1.6 to 3.7) and triticale (1.8 to 3). All trials produced  
451 considerably more electric energy than primary fossil energy was consumed in the whole life-  
452 cycle, thus showing a positive energy balance in all cases.

453 **Fig. 7** shows the fossil energy consumed per unit of electricity produced [TJ fossil / TJ  
454 electricity] for the two considered biomass average systems in central-northern Spain, as well as  
455 for the Spanish natural gas electricity production system. According to the data shown in the  
456 **Fig. 7**, consumption of fossil energy for electricity production is, respectively, 85.2% and 75.7%  
457 less for Soria and León biomass bioenergy systems than for the Spanish natural gas electricity

458 system. Raw materials (fertilizers, pesticides and diesel) inputs share was 85% of the total fossil  
459 consumption in both sites. The amount of fossil energy consumed was 65.9% higher for León  
460 system than for Soria system, due to the larger quantity of raw materials consumed and the  
461 lower average yield obtained in biomass production stage. However, both sites produced more  
462 electrical energy than the quantity of fossil energy that was required for its generation.

463 **Fig. 8** shows the fossil energy consumption shares for the stages considered in this LCA for the  
464 Soria and León biomass systems. Analogously to the case of GWP (**Fig. 5**), the agricultural  
465 phase (the last five stages listed in **Fig. 8**), was the phase which contributed most to fossil  
466 energy consumption: 86.6% and 91.7% of the total fossil energy for Soria and León bioenergy  
467 systems, respectively. The rest of the phases corresponding to biomass transportation, power  
468 plant consumption and disposal accounted for only 13.4% and 8.3% of the total fossil energy.

469 Regarding the components of the agricultural phase, fertilizers made the greatest contribution to  
470 fossil energy consumption, accounting for 51.5% and 49.8% of total. They were immediately  
471 followed in importance by diesel consumption, with 28.1% and 31.4% of the total fossil energy  
472 consumed. These results are consistent with other energy analysis studies that include winter  
473 cereals cultivated under rain-fed conditions in Spain [50]. The contribution of fertilizers was  
474 lower for fossil energy than for GWP since fertilizer-derived emissions, mainly consisting of  
475 N<sub>2</sub>O emissions, have no effect on fossil energy balances.

476

## 477 **5. Discussion**

478 Results from the trials of annual energy crops suggest that the use of winter cereals might result  
479 in significant benefits with respect to GHG emission savings and fossil energy balances when  
480 compared to the production of electricity from natural gas in Spain. However, and despite the  
481 difference between species and varieties cultivated, only 37 % of the total trials satisfied the  
482 minimum limits of 60 % of GHG savings established by EU biomass sustainability  
483 recommendations [23]. This proportion is increased up to 74% when rhizo-deposits CO<sub>2</sub>  
484 fixation is considered. Being above the EU threshold in our case would imply obtaining biomass  
485 yields above 6.5 odt/ha if fixation is included in the calculations or above 8.0 odt/ha if it is  
486 excluded.

487 Based on our results from a wide productivity rank variation on real farms and over two  
488 campaigns, we found that there was a clear correlation between productivity at farm scale, fossil  
489 energy balances and GHG savings. This has considerable implications for sustainability criteria  
490 regarding adoption of energy crops in marginal lands where yields, as it is our case, are usually  
491 low and inputs consumed are often relatively high.

492 In the current discussion on energy policy and sustainability criteria [58-60], it is generally  
493 accepted that the energy crop species to be encouraged are those that are better adapted to  
494 marginal or abandoned arable land which is not competitive in terms of its capacity for food

495 production [61-63]. Therefore, we suggest that annual grasses currently cultivated as feedstock  
496 in the studied area for power energy plants should be optimized to produce lower impacts and  
497 improved energy balances in these lands, particularly in those lands showing biomass yields  
498 below those indicated above. More trials and specific assessments should be carried out in order  
499 to select winter cereals varieties with higher biomass yields under semiarid Spanish rain-fed  
500 conditions. In this regard, a possible strategy could be to explore old rye and triticale genotypes  
501 with a lower harvest index (grain weight / whole plant weight), that would require less nitrogen  
502 fertilization per tonne of biomass produced given that grains usually have larger nitrogen  
503 contents.

504 With regard to the phases of the analysis, fertilizer production and application derived emissions  
505 were the most contributing phases in terms of GWP with more than 75 % of the total. This  
506 suggests that serious efforts should be made to optimise fertilizer utilization without  
507 compromising crop biomass yields, especially on low productivity rain-fed lands that are  
508 assumed to be more suitable for the cultivation of bioenergy crops. To provide an optimal  
509 quantity of fertilizer, the expected productivity of the crop on a specific plot as well as nutrient  
510 use efficiency must be considered. Nitrogen fertilization applications with low doses are also  
511 possible in combination with some soil nitrogen improvement management practices such as  
512 rotation with legumes, fallow management and conservative or no-tillage farming. For instance,  
513 rotation with legumes is a well documented technique for increasing soil nitrogen stocks (80 to  
514 300 kg N fixed per hectare and year) [64]. The nitrogen balances of crop systems should be  
515 considered in order to optimise nitrogen use efficiency or to determine the use of reduced doses  
516 and/or specific techniques to improve nitrogen stocks.

517 Diesel consumption in our trials accounted for around 30 % of the total fossil energy consumed.  
518 The optimization of farming operations [65] and proper sizing of agricultural machinery [66] to  
519 increase energy efficiency could potentially reduce this consumption. In particular, non-tillage  
520 or conservative tillage farming operations have synergy effects, reducing diesel consumption  
521 significantly and improving soil nutrient stocks [67], thereby permitting a reduction in fertilizer  
522 doses.

523 Processing technology is another aspect that should be considered in the search for ways of  
524 improving LCA results. It also seems reasonable to assume that the negative effect of lower  
525 yields from dedicated energy plantations could be partially mitigated by higher efficiency  
526 transformations levels such as those described in other studies [68,69].

527

## 528 **6. Conclusion**

529 Based on our results from a wide productivity range variation on real farms and over two  
530 agricultural years, we conclude that there is a clear correlation between productivity at farm  
531 scale, fossil energy balances, and GHG savings. Therefore, considerable reductions of GHG

532 emissions and more sustainable energy balances can be achieved by using winter cereal biomass  
533 compared to natural gas as fuel for electricity production if cereals biomass productivity is  
534 above 8 odt/ha. However, in low productivity scenarios an optimization on crop management  
535 and nitrogen fertilization practices should be carried out in order to satisfy EU sustainability  
536 recommendations of GHG savings for electricity production from biomass.

537

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547

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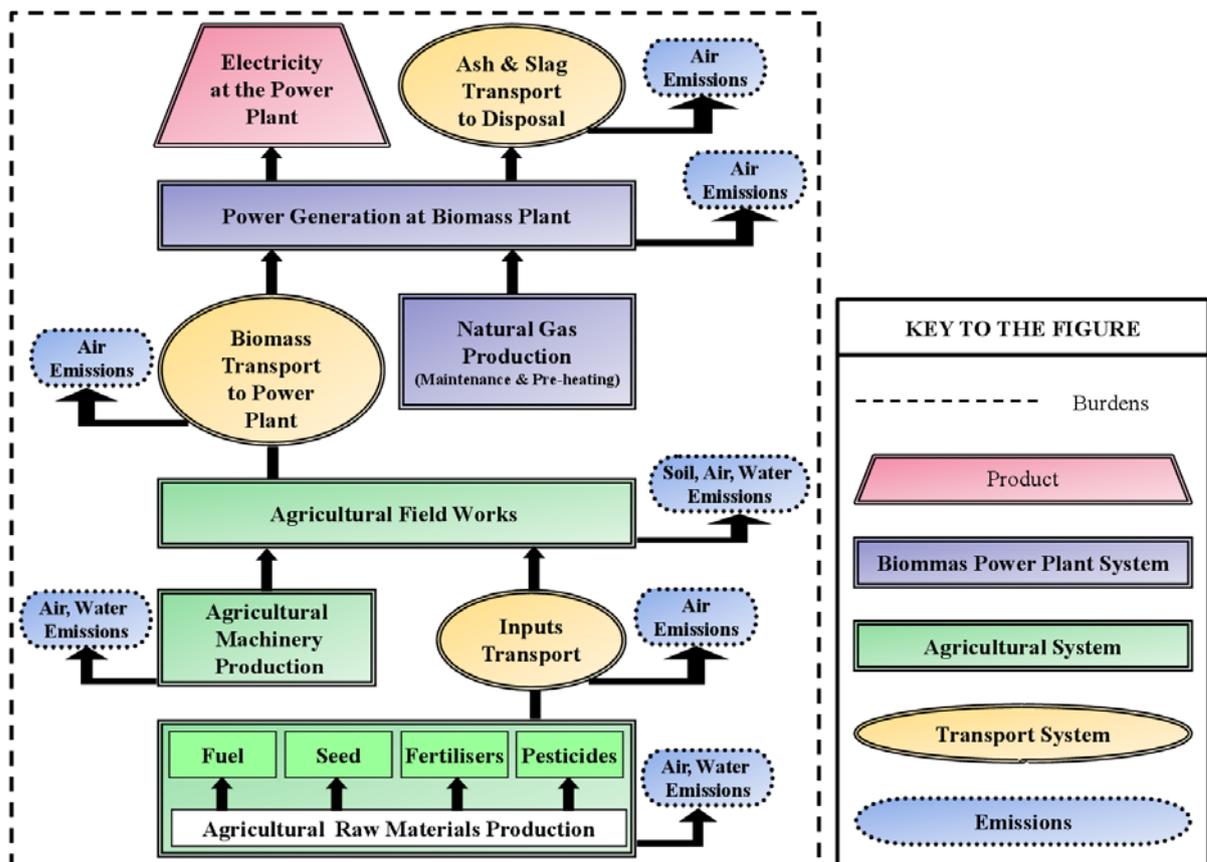
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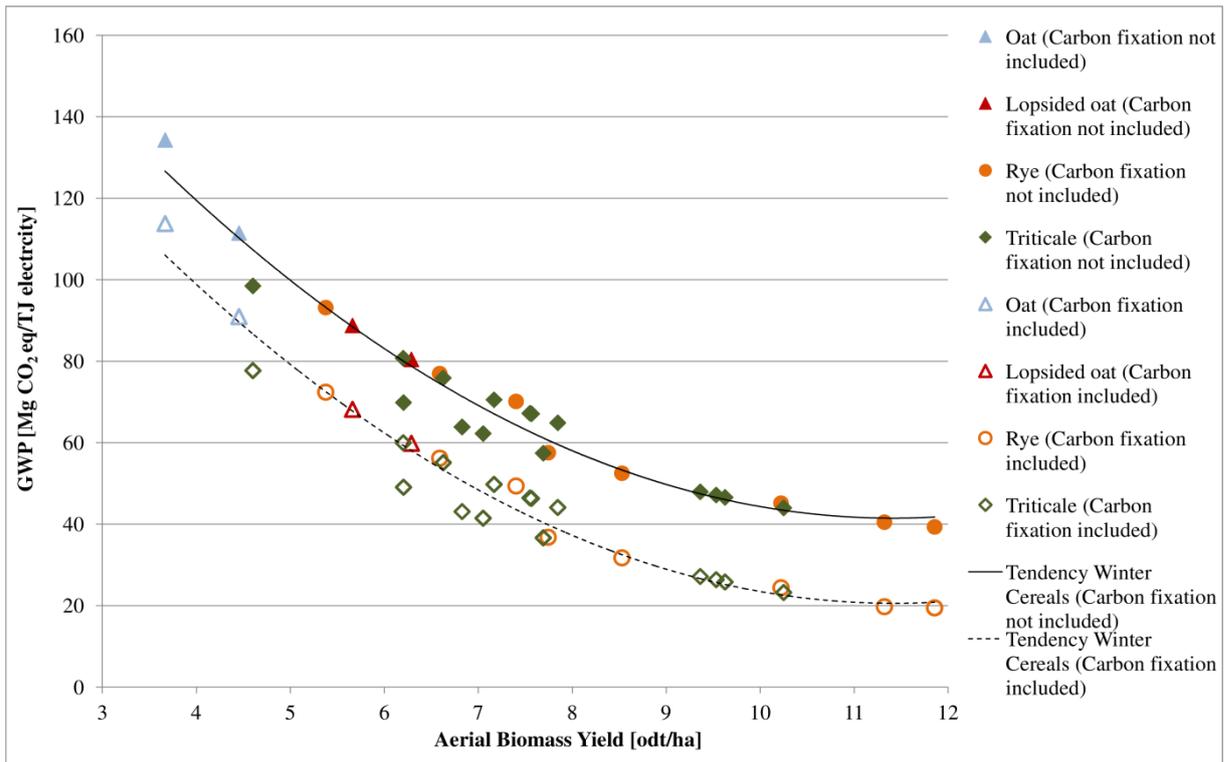
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740 Fig. 1 Processes of the studied bioenergy systems.  
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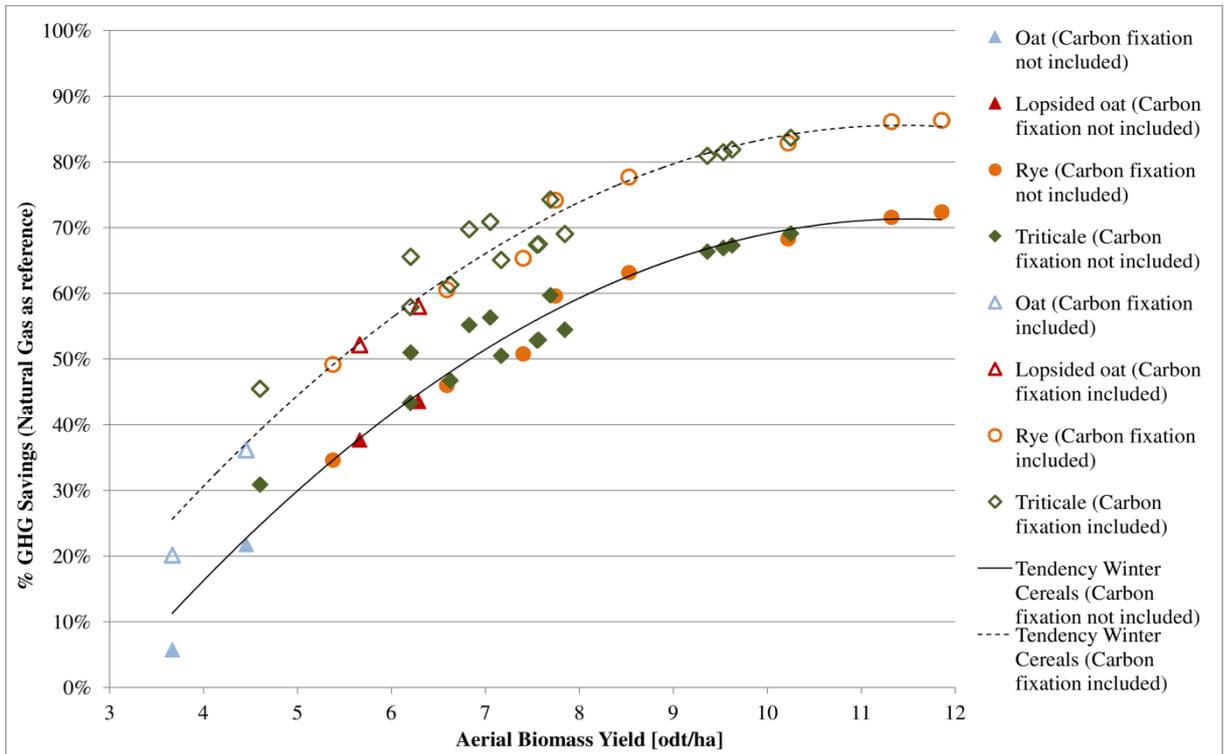


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**Fig. 2** Relationship between global warming potential (GWP) of winter cereals biomass electricity and whole plant yield.

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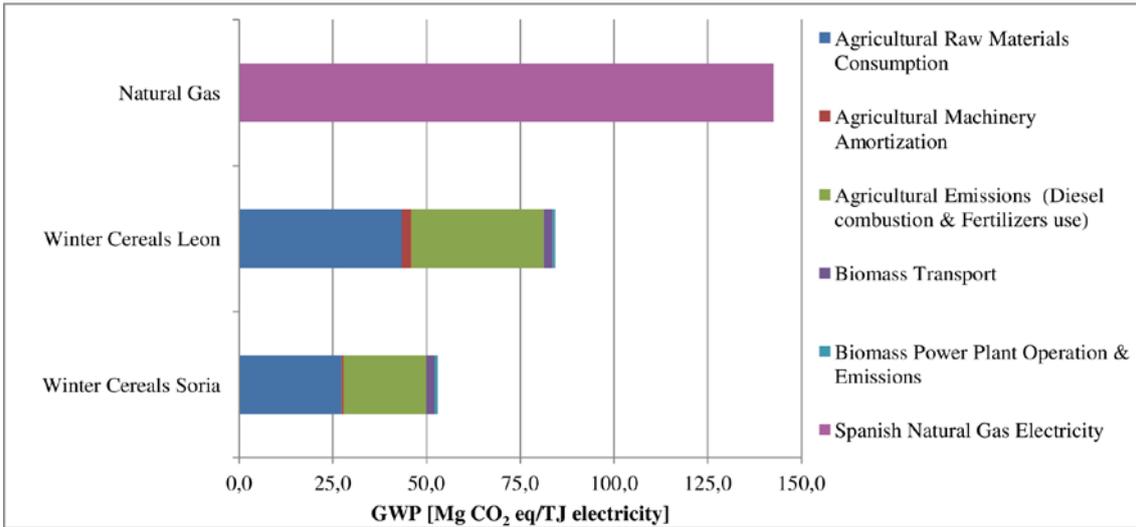


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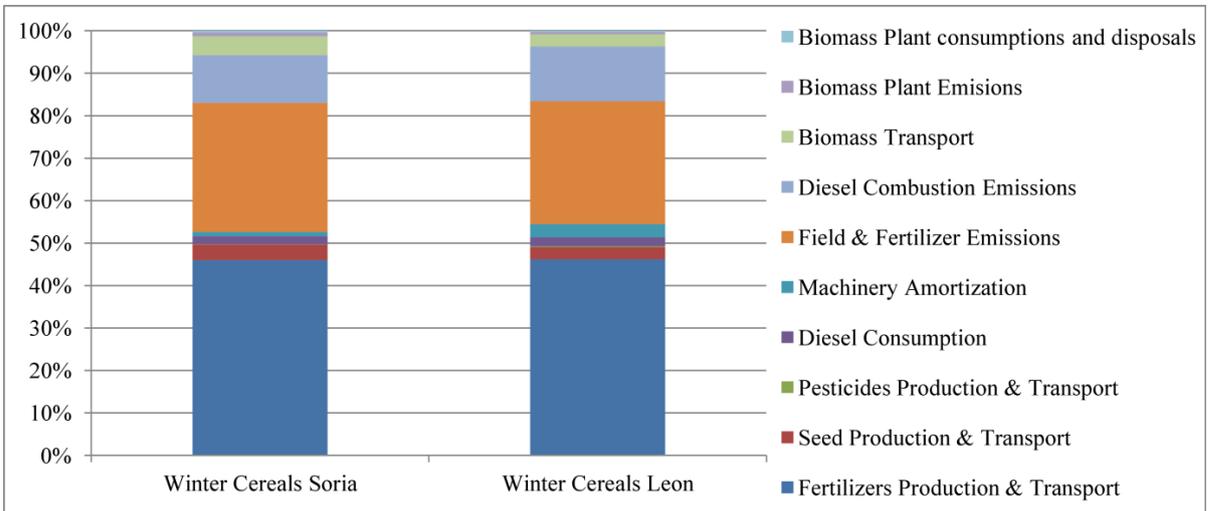
**Fig. 3** Relationship between greenhouse gas emissions savings of winter cereals biomass electricity compared to natural gas and whole plant yield.

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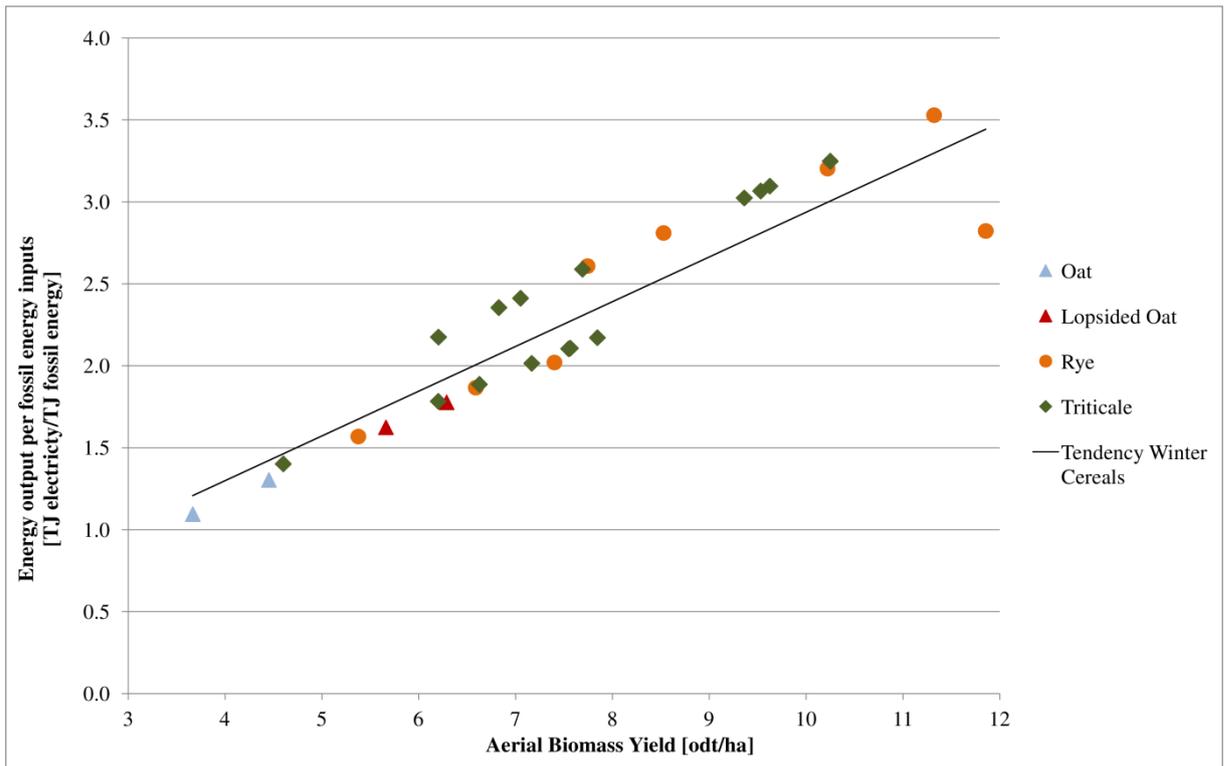
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**Fig. 4** Global Warming Potential generated in the production of electricity from winter cereals of the two studied sites compared to natural gas Spanish plants. (average scenarios).



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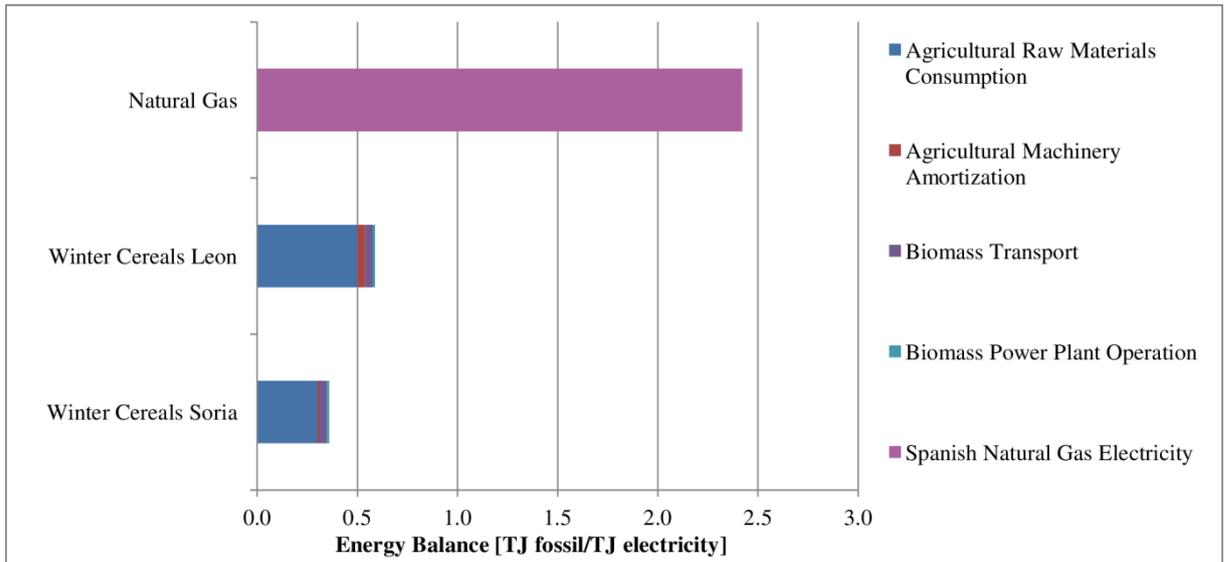
**Fig. 5** Global Warming Potential (GWP) shares for biomass electricity production in average scenarios in Soria and León.



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755 **Fig. 6** Relationship between electrical energy output per fossil energy inputs of winter cereals biomass and whole plant yield.

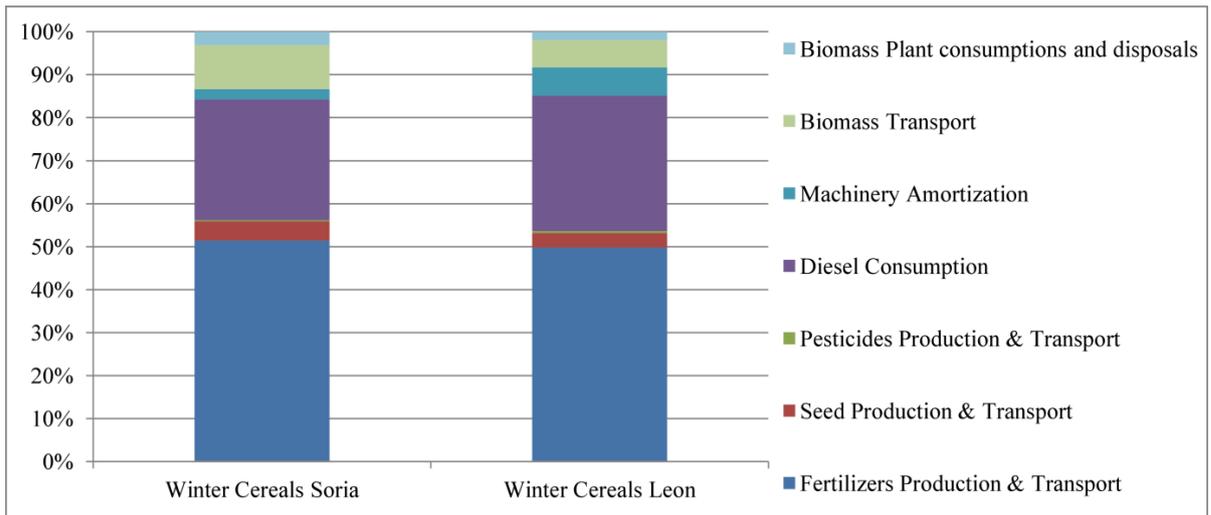
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758 **Fig. 7** Fossil energy consumption generated in the production of electricity from winter cereals of the two studied sites compared to  
 759 natural gas Spanish plants (average scenarios).

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**Fig. 8** Fossil energy consumption shares of average scenarios in Soria and León for biomass electricity production.