

1      Assessment of water-energy planning using qualitative multiple  
2                      criteria decision aiding in a village of Costa Brava  
3                      (WORKING PAPER)

4      Arayeh Afsordegan<sup>a</sup>, Zarrar Khan<sup>b</sup>, Núria Agell<sup>a,\*</sup>, Pedro Linares<sup>b</sup>, Mónica Sánchez<sup>c</sup>

5                      <sup>a</sup>ESADE Business School, Universitat Ramon Llull, Barcelona, Spain

6                      <sup>b</sup>Universidad Pontificia Comillas, Madrid, Spain

7                      <sup>c</sup>Universitat Politècnica de Catalunya, Barcelona, Spain

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8      **Abstract**

9      Multi-criteria decision-making under uncertainty are accepted as suitable techniques in con-  
10     flicting problems that cannot be represented by numerical values, in particular in water-energy  
11     planning. In this paper, a qualitative multi-criteria group decision-making with qualitative lin-  
12     guistic labels is proposed. This method addresses uncertainty with different levels of precision  
13     and ranks multi-criteria alternatives. Each decision maker's judgment on the performance of  
14     alternatives with respect to each criterion is expressed by qualitative linguistic labels. The new  
15     method takes into account qualitative and quantitative variables provided by the decision makers  
16     simultaneously. Decision maker judgments are incorporated into the proposed method to gener-  
17     ate a complete ranking of alternatives. A real case study in a Costa Brava village (Catalonia,  
18     Spain) for improving the water problem in this touristic Mediterranean coastal area, has been  
19     performed. In this application, different water scenarios are ranked taking into account quali-  
20     tative and quantitative levels of variables using simulation water-energy model and qualitative  
21     assessment.

22     *Keywords:*

23     Multi-criteria decision-making, linguistic labels, qualitative reasoning, TOPSIS, water planning

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24     **1. Introduction**

25     Multi-criteria decision-aiding (MCDA) approaches, introduced in the early 1970s, are pow-  
26     erful tools used for evaluating problems and addressing the process of making decisions with  
27     multiple criteria. MCDM involves structuring decision processes, defining and selecting alter-  
28     natives, determining criteria formulations and weights, applying value judgments and evaluating  
29     the results to make decisions in design, or selecting alternatives with respect to multiple con-  
30     flicting criteria (Carlsson and Fuller 1996; Yilmaz and Dagdeviren 2011). Moreover, MCDM  
31     techniques have a strong decision support focus and interact with other disciplines such as intel-  
32     ligent systems dealing with uncertainty. Some of the currently used MCDM methods, in which

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\*Corresponding author

Email address: [nuria.agell@esade.edu](mailto:nuria.agell@esade.edu) (Núria Agell)

33 the present study can be included, support decision makers in all stages of the decision-making  
34 process by providing useful data to assess criteria with uncertain values (Kara and Onut 2010).

35 Tourism is a major activity for some Mediterranean areas economy. The growth of tourism  
36 on the last few decades has had many positive effects, while it has caused drawbacks to environ-  
37 ment when this growth has not been planned in a sustainable way. The analysis of influential  
38 factors can help to assist the design of advanced solutions for the planning and management of  
39 sustainable tourism in these areas (Chan & Lam, 2010). These solutions can have an impact both  
40 on inhabitants and tourists, which will benefit sustainable tourism from the economic, social and  
41 environmental points of view. Tourism is both dependent on fresh water resources and an impor-  
42 tant factor in fresh water use. Fresh water is also needed to maintain the gardens and landscaping  
43 of hotels and attractions, and is embodied in tourism infrastructure development, food and fuel  
44 production (Bramwell, & Lane, 2012; Pegas and Castley 2014).

45  
46 In particular, tourism provides environmental impact such as energy consumption, water con-  
47 sumption, pollution and waste outputs (water quality and air quality). Within the accommodation  
48 sector, private homes and hotels are the primary contributors to energy and water use (Gossling,  
49 Peeters, Hall, Ceron, Dubois, Lehmann & Scott, 2012). In cases where water can be re-used,  
50 changed water properties can be more relevant in sustainability terms than the amount of water  
51 actually consumed. The impact of tourism on water availability and water quality is dependent  
52 on a wide range of factors, such as the relative abundance and quality of water in the respective  
53 tourism region, current and anticipated future water abstraction rates (Stephen, Kent & Newn-  
54 ham, 2004; Chris & Sirakaya, 2006).

55  
56 Fresh water resources are becoming scarce in many countries, as a result of population  
57 growth, increasing pollution, poor water management practices, and climatic variations. De-  
58 spite increasingly efficient water use in many developed countries, the demand for fresh water  
59 has continued to climb as the world's population and economic activity have expanded. Accord-  
60 ing to some recent projections, in 2025 two thirds of the world's population will be suffering  
61 moderate to high water stress and about half of the population will face real constraints in their  
62 water supply. The situation is particularly critical in the Middle East and North Africa. Almost  
63 all conventional water resources have already been exploited in Saudi Arabia, the Arab Emirates,  
64 Oman, Qatar, Kuwait, Bahrain, Yemen, Jordan, Israel, Palestinian Territories and Libya; they are  
65 expected to be fully exploited in several other countries within the next few years. The water  
66 crisis has also affected some temperate regions with normally plentiful resources, such as Eu-  
67 rope and North America, where periods of drought are becoming more frequent and are lasting  
68 longer. Many parts of France, Italy, Spain and the UK have suffered successive droughts over  
69 the last few years, with the result that some watercourses have dried up and the level of ground-  
70 water supplies has reached a critical point. One approach used to evaluate water scarcity is the  
71 exploitation rate of water resources (the ratio between the volume of available renewable water  
72 resources and annual withdrawals). When the exploitation rate exceeds 20% of existing reserves,  
73 water management becomes a vital element in country's economy.

74  
75 Various strategies have been developed over the years in response to growing water demand,  
76 such as building infrastructures to transport water to deficient areas. Because such projects  
77 require much time and money, alternative solutions are being proposed, such as desalinating  
78 seawater or brackish water, water reuse and water conservation measures using water-efficient  
79 technologies such as drip irrigation and low-volume flush systems. In discussing alternatives,

80 it is important to examine not only technical solutions but also socio-economic issues such as  
81 willingness to pay, public perceptions, risk analysis, assessment of monetary and non-monetary  
82 benefits, as well as the environmental impacts. The water reuse option is often not only the most  
83 cost-effective solution, but it has the advantage of valorizing the social and environmental value  
84 of water, enhancing a region's resource availability and minimizing waste water outflow with  
85 additional environmental benefits.

86 Most of the studies only considered the numerical indicators which can be measured based  
87 on available information of the city but on the other hand it is very important to take into account  
88 qualitative indicators by asking experts about their preferences. In this study, the quantitative  
89 indicators have been measured by simulation and the qualitative indicators values obtained by  
90 asking experts of different group from technical and economic section, environmental section,  
91 hotels and managers (at least one expert from each group), using qualitative interval basic and  
92 non-basic labels to measure qualitative alternatives for final ranking (Aggregation methods).

93  
94 The study of ranking processes is considered also an interesting issue particularly in artificial  
95 intelligence. One of the active sub-fields of research in AI is linguistic modeling. It refers to  
96 some variables which nature is not crisp (especially for social and environmental aspects) when  
97 uncertainty is occurred due to either lack of information or imprecision in DM' assessments [? ?  
98 ]. Frequently, these uncertainties are captured by using linguistic labels or fuzzy sets to evaluate  
99 the set of criteria or indicators [? ]. It is also necessary to distinguish between internal uncer-  
100 tainties (related to DM values and judgments) and external uncertainties (related to imperfect  
101 knowledge concerning consequences of actions) [? ].

102  
103 Linguistic approaches have been widely used in MCDM methods in several fields such as  
104 power generation for tri-generation systems [? ? ? ], urban planning [? ? ? ], Life Cycle Impact  
105 Assessment [? ] and many others. In water-energy planning, different aspects of environmental  
106 assessments have been considered in various studies, for example developing the local energy  
107 sources to rank energy alternatives [? ], evaluating water resources [? ], assessing renewable  
108 energy alternatives [? ? ? ]. Although many studies applied decision aiding methods in water-  
109 energy planning, there is a gap between the study of quantitative variables using optimization  
110 water model and qualitative variables by linguistic assessment under uncertainty, simultaneously.

111  
112 As previously stated, the purpose of this study is to elaborate a qualitative multi-criteria  
113 method for the performance assessment of different scenarios, taking into account the inherent  
114 complexity and uncertainty of the decision-making problem. To this end, this section introduced  
115 the context, theoretical framework together with relevant studies. In Section 2, first a method  
116 for selecting and weighting variables to obtain the set of qualitative and quantitative variables  
117 is introduced. Second, these variables have been measured for the given alternatives and finally  
118 a multi-criteria decision aiding method based on linguistic assessments is presented to compare  
119 and rank alternatives. Section 3 presents an application of proposed method to select the best  
120 scenario for water planning in Costa Brava, Catalonia, Spain. Finally, in Section 4, conclusions  
121 are drawn and suggestions made for further work.

## 122 **2. A Multi-criteria decision aiding method based on linguistic assessments**

123 Multi-criteria decision-making methods support decision makers in all stages of the decision-  
124 making process by providing useful information. However, criteria are not always certain as

125 uncertainty is a feature of the real world. Multi-criteria decision-making methods under uncer-  
126 tainty are accepted as suitable techniques in conflicting problems that cannot be represented by  
127 numerical values, in particular in water-energy analysis and planning.

128

### 129 *2.1. Selecting and weighting variables*

130 Surveys, qualitative median and a consensus degree based on length of connected union  
131 Adaptation of Borda-Kendall

### 132 *2.2. Measuring variables for the given alternatives*

#### 133 *2.2.1. Quantitative variables measurement*

134 The quantitative simulation model used in this case study produces several outputs. Some  
135 variables from the model are tracked for each alternative in order to use in the multi-objective  
136 comparative analysis. The quantitative variables tracked include:

- 137 i Investment Costs: Investment costs for each alternative (e.g. building a new water transfer  
138 pipeline or a new desalination plant) are calculated as an amortized annuity based on an  
139 expected lifespan of each investment and estimated interest rate.
- 140 ii Operation Costs: Operation costs for each alternative is based on a parameter specifying the  
141 cost per unit volume of water processed (Eurs/m<sup>3</sup>) for each process.
- 142 iii Energy Consumption: Energy consumption for each alternative is based on a parameter spec-  
143 ifying the energy consumption per unit volume of water processed (KWh/m<sup>3</sup>) for each pro-  
144 cess.
- 145 iv Water losses: Water losses for each alternative are calculated based on a parameter which  
146 defines the percentage loss of water for each process.

#### 147 *2.2.2. Qualitative variables measurement*

### 148 *2.3. Comparing and ranking alternatives*

149 The objective of ranking problems is to aid decision maker to simplify the “most attractive”  
150 actions in to equivalent classes. The ranking consists in ordering a set of solutions. The aim is  
151 finding the goodness of all alternatives, which is usually presented as a ranking from the best to  
152 the worst. They are completely or partially ordered with respect to the preferences. The final  
153 output is the ordering procedure. In the following section, we are going to present qualitative  
154 TOPSIS decision aiding method which is suitable for ranking alternatives.

#### 155 *2.3.1. Quantitative Water Simulation Model*

156 The water model can be conceptualized as presented in Figure 1 showing the flow of water  
157 through different processes. Each node represents a mass-balance equation with the different  
158 colored lines representing parameters and variables. All flows into a node must equal all flows  
159 out of the node.

160 In Figure 1 water enters the system from local sources such as groundwater or surface water,  
161 or externally from desalination or water transfers from other regions. Green boxes represent  
162 water leaving the system as un-captured, treated or un-treated waste water. Non-served water is  
163 represented by the dashed-line box. Demand sectors are grouped together inside the solid-lined  
164 box. At each node water may be lost as leakages or evapotranspiration and is shown by a short  
165 green line. At each node the process may also consume energy shown by a short red line.

166 For each spatial and temporal unit the mass-balance is checked according to Equation 1. For  
 167 each temporal sub-unit ( $p$ ) the water entering the system from precipitation, desalination as well  
 168 as transfers from other regions is equal to water leaving the system as losses, uncaptured, treated  
 169 and untreated waste-water.

$$\delta S(p)/\delta p = P(p) + D(p) + I_{in}(p) + Q_{in}(p) - V(p) - Q_{out}(b, p) \quad (1)$$

Where..

$b$  : Spatial sub-unit,  $p$  : Temporal sub-unit,  $S$  : Storage,  $P$  : Precipitation  
 $D$  : Desalination,  $I_{in}$  : Inter-basin transfers in,  $Q_{in}$  : Runoff in  
 $V$  : Evapotranspiration,  $I_{out}$  : Inter-basin transfers out,  $Q_{out}$  : Runoff out

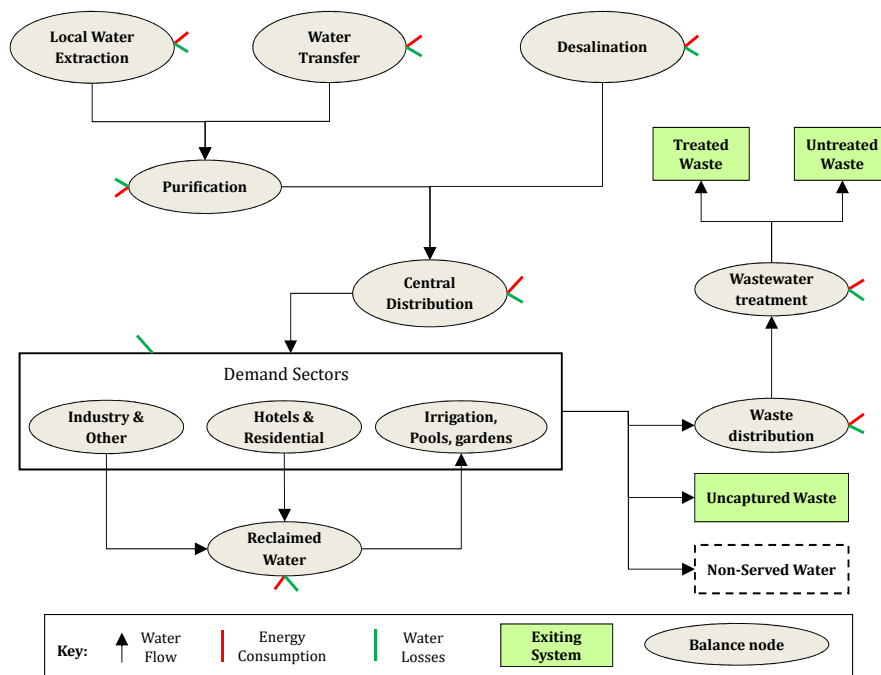


Figure 1: Water sub-module conceptual framework showing the flow of water volume tracked through different water processes.

170 Table 1 summarizes the parameters used in the simulation followed by a brief discussion of  
 171 how the parameters were chosen. It was attempted to find the most relevant local parameters for

Table 1: Water system process parameters

Process	Investment Cost (Eur/hm <sup>3</sup> /yr)	Operation Cost (Eur/hm <sup>3</sup> )	Energy (KWh/m <sup>3</sup> )	Losses (%)
Local Water Extraction	14,000	180,000	0.23	12.5
Desalination	1,800,000	300,000	5	50
Transfer from LLanca	250,000	2,500	0.1	25
Reuse Treatment	600,000	200,000	3.2	15
Reuse Delivery	17,000	330,000	0.4	25
Freshwater Purification	500,000	140,000	2.1	13
Wastewater treatment	600,000	200,000	3.2	14
Delivery	17,000	330,000	0.4	25

172 each process. If data was available for Port de Selva, it was used. If not then data was searched for  
 173 the Catalonia region and then for Spain and then parameters from examples for other countries.

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#### 175 **Local Water Extraction**

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Local water extraction was assumed to be a combination of groundwater extraction and surface water abstraction parameters. For groundwater extraction the average groundwater depth was assumed to be 40m based on the data series from Ministerio de medio ambiente, Gobierno de España [?] for 2003-2012. Groundwater pumping costs involve investment of the well and pumping system and the operation and maintenance costs. Well construction costs will depend on a number of factors such as the drilling method used, geology, depth to aquifer, size of borehole, pumping volume etc. Pumping operation costs in general are to a large part dictated by the energy needed to pump the water up, which in turn depends on the depth of the water table. Investment costs for groundwater pumping systems, considering an interest rate of 4% and a lifetime of 15 years results in an annuity of about 0.02  $Eur/m^3$  (about 10 times less than a desalination plant).

Hernandez(2010) [?] citing a study by the Spanish ministry of the Environment estimates average groundwater abstraction costs varying from 0.08  $Eur/m^3$  for urban water supply to 0.12  $Eur/m^3$  for irrigation. Estimates from Hernandez(2010) [?], Mora 2013 [?] and Robinson 2002 [?] were used to estimate the investment and operation costs for groundwater extraction.

Energy consumption for groundwater pumping was calculated using Equation 2 [?] relating the energy required for pumping  $E(MWh)$  with the volume of water pumped  $W(hm^3)$ , pumping head  $h(m)$ , and a coefficient  $\phi$ . The coefficient term constitutes the pump efficiency  $\gamma$ , water density  $\rho$  ( $kg/m^3$ ) and gravity  $g(m/s^2)$ .

$$E = \phi \times W \times h \quad (2)$$

194

Where:

195

- $\phi = \gamma \times \rho \times g / 1000$

196

- $\gamma =$  between 0.4 to 0.7

197

- $\rho = 1000 \text{ kg}/m^3$

- 198 •  $g = 9.8 \text{ m/s}^2$
- 199 •  $W = \text{Volume of water } \text{hm}^3$
- 200 •  $h = \text{Pumping head } m$

201 Water lost in groundwater pumping was considered as returned to the aquifer and thus taken  
 202 as 0. The energy lost in pumping water that does not reach the top was considered in the pumping  
 203 efficiency.

204 For surface water the parameters were assumed to be the same as used for surface water  
 205 delivery systems discussed in the next section.

206 Average values for ground and surface water parameters were used for the combined local  
 207 water extraction parameter.

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### 209 **Water Delivery**

210 The 2007 report [?] by the ministry of the environment summarizes the costs associated  
 211 with several different water services in Spain. These are divided into surface water collection,  
 212 subsurface water collection, urban water supply, municipal sewage collection, sewage treatment,  
 213 distribution of agricultural water and discharge control. This same distribution of costs is used  
 214 in the analysis of the recuperation of costs in the individual river basin plans for the cycle 2015-  
 215 2021 published in 2014 and 2015 [?]. The operation and maintenance as well as the investment  
 216 costs associated with urban water supply are extracted from these studies for the parameters to  
 217 be used for water distribution within a basin.

218 Energy consumption basically depends on the energy required to lift and move water between  
 219 two points. The energy thus depends on the sections of the transfer in which there is a positive  
 220 change in height. However, at the basin scale it is too complicated to calculate the net elevation  
 221 gain for individual systems and an average value per cubic meter of water is used.

222 In Hardy 2010 [?] a range of values for energy used in the distribution system is given from  
 223  $0.064 \text{ kWh/m}^3$  to  $0.32 \text{ kWh/m}^3$ . Another study, Muñoz 2010 [?], estimates energy consumption  
 224 in water distribution to range between  $0.2 \text{ kWh/m}^3$  and  $0.8 \text{ kWh/m}^3$  while for the Ebro River long  
 225 distance transfer energy consumption is estimated between  $2.5 \text{ kWh/m}^3$  to  $3 \text{ kWh/m}^3$ . A World  
 226 Bank study from 2012 [?] also notes the dependency of energy consumption on the share  
 227 of gravity-fed supply in the system. For surface water the study estimates 10% of total energy  
 228 consumption is spent on raw water extraction, 10% on water treatment and 80% on clean water  
 229 transmission and distribution.

230 As discussed in Section ?? the European Environment Agency (EEA) [? ?] estimates  
 231 water losses in urban water networks as high as 50% for Bulgaria, about 22% for Spain and as  
 232 low as 5% for Germany. The Asian Development Bank [?] estimates water losses in Asia, from  
 233 25% in East Asia to 40% in Central and West Asia. In a study from California from 2004 [?] estimates  
 234 are given as varying typically between 6 % to 15 % but in some cases as high as 30 %.

235 Water losses will depend heavily on the local conditions, age, components and maintenance  
 236 of the distribution system and a conservative estimate of 25% is used for the current case study.

237

### 238 **Water Reuse, Purification, Wastewater Treatment**

239 Municipal wastewater or sewage can come from a variety of different sources (households,  
 240 schools, offices, hospitals and commercial facilities) with a variety of different possible biolog-  
 241 ical and chemical contaminants. After being treated, reclaimed water can be used for different

242 uses, for which the quality of water is ensured according to regulations. There are several dif-  
243 ferent treatment options available which can be used in different combinations corresponding to  
244 the desired results and quality standards. Different processes are categorized into preliminary,  
245 primary, secondary, tertiary or advanced methods.

246 In 2007, the Spanish Royal Decree 1620/2007 [?] clearly stated the official quality re-  
247 quirements for the use of reclaimed water in for different purposes. These have been adopted in  
248 the National Plan for Reutilization of Water in Spain [?] in which different treatment process  
249 options are also recommended.

250 A study from 2011 [?] explores the values of the operation and maintenance costs for twenty  
251 four different water treatment plants. The study analyzes the distribution of the costs between  
252 energy, staff and other operation and maintenance costs. In the study operation and maintenance  
253 costs average around  $0.24 \text{ Eurs}/m^3$  of which about 20 % is the OnM costs of energy. Another  
254 study from 2011 [?] gives similar results with OnM costs totaling  $0.24 \text{ Eurs}/m^3$  with energy and  
255 about  $0.2 \text{ Eurs}/m^3$  without energy. Investment costs were about  $0.4 \text{ Eurs}/m^3$  for a production of  
256  $8.4 \text{ hm}^3$  using an amortization period of 20 years, interest rate of 6% and discount rate of 3.5%.  
257 Cabrera 2012 [?] estimate an average life time of between 20 to 30 years for water treatment  
258 plant and use and average OnM cost of  $0.423 \text{ Eurs}/m^3$ .

259 The Spanish Ministry of industry, tourism and commerce published a report in 2010 [?]  
260 detailing the energy consumption in water treatment processes grouped by the size of the  
261 population being served. The report uses some standard values including an approximate value  
262 of  $0.2m^3$  of wastewater produced per person.

263 A report from 2011 [?] gives the water balance of different wastewater treatment processes  
264 as measured in treatment plants in South Africa. The report finds that no water is lost in certain  
265 processes such as removal of TSS with cartridge filters, removal of residual BOD/COD with  
266 activated carbon filters or disinfection (UV and other chemicals). In general the most water  
267 consumptive processes are membrane filtration processes such as ultrafiltration (4%) and reverse  
268 osmosis (30%).

269 The different sources mentioned here were used to estimate the parameters used. Freshwater  
270 purification was assumed to require less stringent treatment processes. Waste water treatment  
271 and water reutilization was assumed to require more efficient treatment processes and this is  
272 reflected in the chosen parameters.

273

## 274 **Water Long-Distance Transfers**

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276 Costs for water transfer lines were based on local studies and interviews with the Consorci  
277 Costa Brava [?] [?] as well as the economic analysis of water transfer systems in Spain as  
278 reported in detail in the National Hydrological Plan (NHP) written in 2000 [?] and updated in  
279 2005. Costs associated with long distance transfers are closely related to the path chosen for the  
280 pipelines, the number of tunnels, bypass weirs, culverts, trenches, aqueducts, siphons and other  
281 infrastructure needed. The primary operation costs will depend on the energy used in lifting  
282 the water through the cumulative water head long the transfer, less the energy generated using  
283 hydroelectric units. The NHP differentiates between, investment costs, operation costs associated  
284 with energy and other operation costs associated with administration and maintenance. Non-  
285 energy operation and maintenance costs are proposed at 1% of the total investment costs and  
286 administration costs are estimated at 0.2% of the total investment costs [?].

287 Principal investment costs were estimated to be EUR 4,000,000 [?] for a transfer line from  
288 the municipality of LLanca. An annuity was then calculated using a lifetime of 50 years and



289 interest rate of 6%. A corresponding operation and maintenance cost of 1% of the annuity was  
 290 used.

291 Energy consumption required to move water between two points mainly depends on the  
 292 cumulative elevation change that the water has to be lifted through [? ?]. Some energy is  
 293 also spent in overcoming internal friction within the pipeline. The cumulative elevation gain in  
 294 turn depends on the pipeline routing. As pointed out in Stillwell (2010) [?] the shortest distance  
 295 using a straight line approach may be considered with the possibility of giving the least energy  
 296 consumption, however, this would be impractical from a property rights perspective and instead  
 297 pipeline routing is more likely to follow existing rights of way such as major road networks.  
 298 Water transfer to the Port De Selva region is expected to come from the city of Llanca.

299 The distance and elevation gains along the route is calculated using the open source GIS  
 300 software [?] as shown in Figure 2. The map provides both the cumulative and net elevation  
 301 gains along the route. The cumulative elevation gains are used to estimate the maximum energy  
 302 ( $kWh/m^3$ ) needed to transfer water across the selected route and the net gains are used for a low  
 303 end estimate of the energy needed ( $kWh/m^3$ ), in which it is assumed that full energy is recovered  
 304 on the downhill portions. The average value between the two is used as the final estimate for the  
 305 energy ( $kWh/m^3$ ) needed to overcome gravity in the inter-basin transfers.

306 The energy consumption is calculated using the Equation 3 [?] for overcoming gravity  
 307 in long distance transfers and Equation 4 [?] for the Darcy-Weisbach turbulent flow energy  
 308 consumption. In Equation 3,  $\frac{\Delta E_p}{\Delta t}$  is the change in potential energy in Joules per unit time,  $\rho$  is the  
 309 fluid density,  $Q$  is the flow rate,  $g$  is acceleration due to gravity and  $\Delta h$  is the net or cumulative  
 310 change in height. In Equation 4,  $h_f$  is the head loss due to friction,  $f$  is the friction factor,  $v$   
 311 is the average fluid velocity,  $\Delta L$  is the pipe length and  $D$  is the inside pipe diameter. Parameter  
 312 values were taken from the study by Stillwell 2010 [?] as shown in Table 2.

$$\frac{\Delta E_p}{\Delta t} = \rho Q g \Delta h \quad (3)$$

$$h_f = f \frac{v^2}{2g} \frac{\Delta L}{D} \quad (4)$$

313 The average energy for different transfer sections in the National Hydrological Plan is given  
 314 at about  $1 kWh/m^3$  [?], while Muñoz 2010 [?] gives a range of  $2.5 kWh/m^3$  to  $3 kWh/m^3$  for  
 315 the Ebro river transfer.

Table 2: Parameters used for water-transfers (Stillwell 2010 [?])

Parameter	Value	Units
Acceleration due to gravity, $g$	9.81	$m/s^2$
Density, $\rho$	997.08	$kg/m^3$
Flow rate, $Q$	0.8763	$m^3/s$
Friction factor, $f$	0.0115	unitless
Pipe diameter, $D$	3.66	m
Velocity, $v$	0.305	$m/s$
Viscosity, $\mu$	8.94E-04	$kg/m-s$

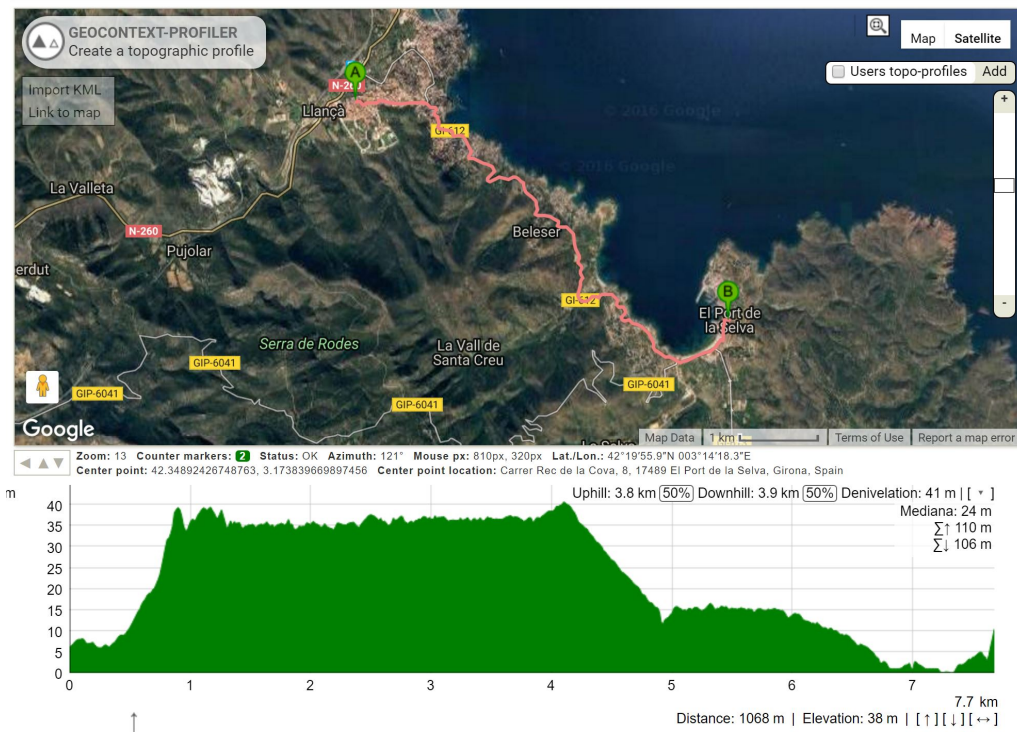


Figure 2: Water transfer potential route from Llança to Port de Selva [ ? ]

316 2.3.2. *Qualitative TOPSIS methodology*

317 In order to considering linguistic rather than numerical values, a new function in which lin-  
 318 guistic terms are associated to qualitative labels is needed to operate the alternatives. To do so,  
 319 the new algorithm takes this premise into account in this section. A mathematical formulation is  
 320 developed that contributes to decision analysis in the context of *multi-granular linguistic labels*  
 321 and *group decision making* for ranking problems.

322  
 323 ? ] introduced a qualitative approach for ranking alternatives that was inspired by the refer-  
 324 ence point method. This approach ranks a set of alternatives by using a distance function. It  
 325 uses linguistic assessments of alternatives and minimizes the distance between them and a certain  
 326 target point that models the best performance for each criterion considered.

327 The method used in the study of ? ] for ranking alternatives, based on comparing distances  
 328 against “a single optimal reference point”, has been modified in the method proposed in this  
 329 thesis, to capture the idea of the TOPSIS approach according to the “best” and “worst” reference  
 330 points. To do so, the proposed method called Q-TOPSIS is defined after some preliminaries are  
 331 introduced.

332 2.3.2.1. *Preliminaries.* The absolute order-of-magnitude models are constructed via a partition  
 333 of an interval in  $\mathbb{R}$  which defines the set of basic labels. The partition is defined by a set of real  
 334 landmarks. These evaluations are given by means of a set of qualitative labels with different  
 335 levels of precision belonging to a certain order-of-magnitude space  $\mathbb{S}$ .

336 **Definition 1.** Let  $[a_1, a_{n+1}]$  be a real interval and  $\{a_1, \dots, a_{n+1}\}$  a set of real landmarks, with  
 337  $a_1 < a < a_{n+1}$ . The basic labels are defined by  $B_i = [a_i, a_{i+1}]$ ,  $i = 1, \dots, n$ .

338 Each basic label  $B_i$  corresponds to a linguistic term. In a generic sense, if  $r < s$ , then  $B_r < B_s$ ,  
 339 meaning that  $B_s$  is strictly preferred to  $B_r$ , such as “extremely bad” < “very bad”.

340 **Definition 2.** The non-basic labels describing different levels of precision are defined as  $[B_i, B_j] =$   
 341  $[a_i, a_{j+1}]$  where  $i, j = 1, \dots, n$ , and  $i < j$ . The label  $[B_i, B_j]$  corresponds to the concept “between  
 342  $B_i$  and  $B_j$ ”.

343 Considering a set of alternatives  $\{A_1, \dots, A_l\}$ , each alternative is defined by a set of  $r$  criteria,  
 344 and each criterion is evaluated by the judgments of a team of  $m$  experts. These evaluations are  
 345 given by means of a set of qualitative labels with different levels of precision belonging to a  
 346 certain order-of-magnitude space  $\mathbb{S}_n = [B_i, B_j]$   $i, j = 1, \dots, n + 1, i \leq j$ , considering  $[B_i, B_i] =$   
 347  $B_i$ .

348 In this way, each alternative  $A_i$ ,  $i = 1, \dots, l$  is represented by a  $k$ -dimensional vector of labels  
 349 in  $(\mathbb{S}_n)^k$ ,  $A_i \leftrightarrow (A_{i_1}, \dots, A_{i_m}, \dots, A_{i_1}, \dots, A_{i_m})$ .

350  $k$  being the number of criteria times the number of experts:  $k = r \cdot m$ . Distances between  
 351 linguistic  $k$ -dimensional vectors of basic and non-basic labels are computed by using the location  
 352 function in  $n$ . Each linguistic label corresponds to a location. The AOM qualitative space is used  
 353 for the process of moving from the ordinal scale of the original data set to a cardinal scale by  
 354 codifying the labels using location function that is defined as follows.

355 **Definition 3.** The location function definition in  $\mathbb{S}_n$  is the function;  
 356  $l : \mathbb{S}_n \rightarrow \mathbb{Z}^2$  such that:

$$l([B_i, B_j]) = \left( - \sum_{s=1}^{i-1} \mu(B_s), \sum_{s=j+1}^n \mu(B_s) \right) \quad (5)$$

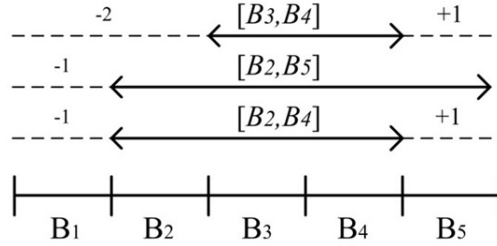
357 where  $\mu$  is any measure defined over the set of basic labels, for instance,  $(B_i) = ([a_i, a_{i+1}]) =$   
 358  $a_{i+1} - a_i$ .

359 In other words, the location function of a qualitative label  $[B_i, B_j]$  is defined as a pair of real  
 360 numbers whose components are, respectively, the opposite of the addition of the measures of  
 361 the basic labels to its left and the addition of the measures of the basic labels to its right. By  
 362 applying a function  $l$  to each component of the  $k$ -dimensional vector of labels, each alternative  
 363  $A_j$  is codified via a  $2k$ -dimensional vector of real numbers:

$$L(A_j) = (l(A_{i_{11}}), \dots, l(A_{i_{1m}}), \dots, l(A_{i_{r1}}), \dots, l(A_{i_{rm}})) \quad (6)$$

364 For example, the location of the basic label is  $B_5$  defined by  $(-4, 0)$  and the non-basic label,  
 365  $[B_2, B_4]$ , is the pair  $(-1, 1)$  (see Figure 3).

Figure 3: Locations



366 2.3.2.2. *Q-TOPSIS distances to reference labels.* The Q-TOPSIS method proposed in this the-  
 367 sis, can process information represented by qualitative terms in the absolute order-of-magnitude  
 368 that was introduced in previous subsection.

369 We consider the QPRL as the  $k$ -dimensional vector  $A^* = (B_n, \dots, B_n)$ , and the QNRL as the  
 370  $k$ -dimensional vector  $A^- = (B_1, \dots, B_1)$ , which are considered as reference labels to compute  
 371 distances. Their location function values are in:

$$L(A^*) = \left( - \sum_{s=1}^{n-1} \mu(B_s), 0, \dots, - \sum_{s=1}^{n-1} \mu(B_s), 0 \right) \quad (7)$$

$$L(A^-) = \left( 0, \sum_{s=2}^n \mu(B_s), \dots, 0, \sum_{s=2}^n \mu(B_s) \right) \quad (8)$$

372 Both the Euclidean weighted distances of each alternative location  $L(A)$  to  $A^*$  and  $A^-$  loca-  
 373 tions are then calculated, i.e.  $d(L(A), L(A^*))$  and  $d(L(A), L(A^-))$ , by applying Eq. 4 to the vectors  
 374  $(X, Y) = (L(A), L(A^*))$  and  $(X, Y) = (L(A), L(A^-))$  respectively:

$$d(X, Y) = \sqrt{\sum_{i=1}^r w_i \sum_{j=1}^{2m} (X_{ji} - Y_{ji})^2} \quad (9)$$

375 Where  $w_i$  is the weight corresponding to the  $i$ -th indicator, and  $X_{ji}, Y_{ji}, j = 1 \dots 2m, i =$   
 376  $1 \dots r$ , are respectively the components of  $X$  and  $Y$ . Finally, the QCC of each alternative is  
 377 obtained by Eq. 10, and the alternatives are ranked according to the decreasing order of  $QCC_i$   
 378 values.

$$QCC_i = \frac{d_i^-}{d_i^* + d_i^-} \quad i = 1, \dots, m. \quad (10)$$

379 Where  $d_i^*$  and  $d_i^-$  are respectively the distance between the alternative location  $L(A_i)$  and the  
 380 QPRL location  $L(A^*)$  and the QNRL location  $L(A^-)$ .

381  
 382 The ranking of alternatives can be determined according to the pre-order defined by the values  
 383 of  $QCC_i$ , and the closer to  $A^*$  and further from  $A^-$  the alternative  $A_i$ , the greater the value of  
 384  $QCC_i$ .

385 In such a case, common in TOPSIS method, the alternative  $A_i$  with the maximum  $QCC_i$  is  
 386 chosen as the best option.

### 387 3. Case study: Port de Selva

#### 388 3.1. Problem Statement

389 The case study is based in the municipality of Port de Selva located in the Costa Brava region  
 390 of Catalonia in northeastern Spain. The municipality has an area of  $41.6 \text{ km}^2$  and a population of  
 391 980. The region receives seasonal tourists which increases pressures on local resources.

392 Existing demand for local water resources is approximately  $300,000 \text{ m}^3$  [? ]. The demands  
 393 are distributed by sector (industry, residential, industry, irrigation) and by month based on the  
 394 data provided in the river basin plans for the Catalonia region [? ]. The distribution of demands  
 395 is shown in Figure 4.

396 Precipitation in the area is between 350 mm to 550 mm per year. In years of drought water  
 397 is over-exploited from the groundwater aquifer causing concern for sea water intrusion. The  
 398 amount of water recharging the aquifer is estimated to be  $300,000 \text{ m}^3$  [? ] i.e. just enough to  
 399 meet the local demand. Changes in precipitation due to climate change for the Catalonia region  
 400 are estimated based on the predictions made by Centro de Estudios y Experimentación de Obras  
 401 Públicas (CEDEX) [? ] which predict on average about a 10% decrease from January to June  
 402 and about a 5% increase from July to December.

403 A small pipeline from the municipality of LLanca exists to provide additional relief but this  
 404 is not sufficient to meet additional demands [? ]. Investment costs for a pipeline with sufficient  
 405 capacity is estimated to cost approximately EUR 4,000,000.

406 An existing water purification treatment plant exists which has a rated capacity of  $2.625$   
 407  $\text{m}^3/\text{d}$  and is recorded as treating a maximum load of about  $50,000 \text{ m}^3$  in August (Data from  
 408 1996-2016) [? ]. Some additional water reuse capacity of  $25 \text{ m}^3/\text{h}$  is available and is recorded  
 409 as treating a maximum volume of about  $16,000 \text{ m}^3$  of wastewater (data from 2004-2016) [? ].

410 Wastewater that is treated for reuse comes from the municipal system which collects water  
 411 from residences, hotels and industry. Comparing with Figure 4, it can be seen that even if all the

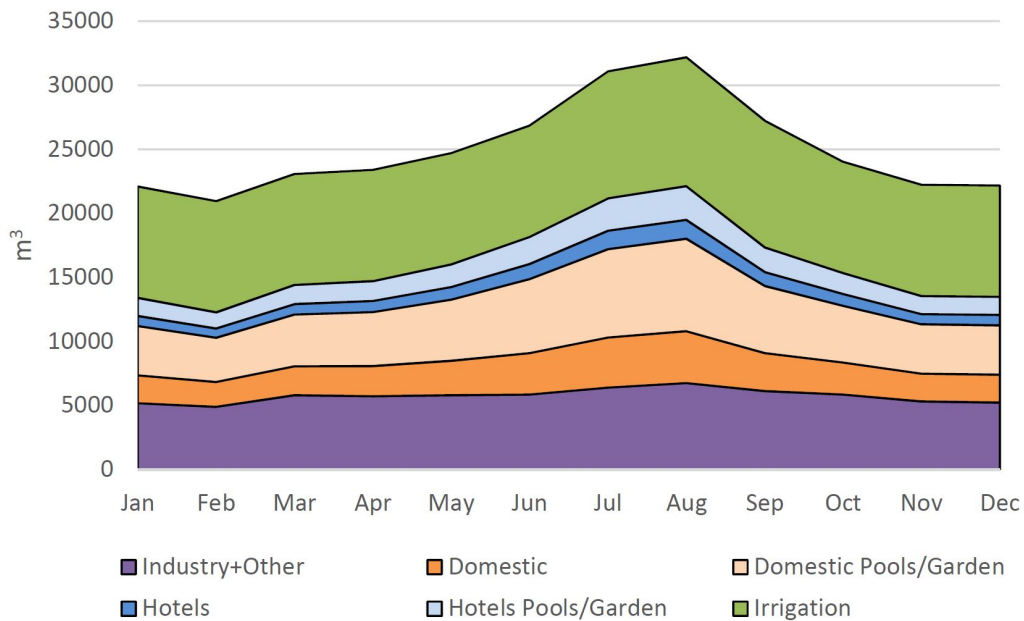


Figure 4: Monthly distribution of water demands in Port de Selva

412 water used in the municipal system was diverted for reutilization this would mean a volume of  
 413 about 16,000  $m^3$ , which is within the capacity of existing reutilization treatment plants.

414 Even before any further analysis, this means that the installation of additional capacity would  
 415 only be needed if additional demands in the municipal system created sufficient additional waste  
 416 water.

### 417 3.2. Scenarios

418 Simulations of different scenarios Regarding to expert's preferences in this region, four sce-  
 419 narios are presented for additional water needed during high water demand seasons: 1- Business  
 420 as Usual (Involves some water reuse) 2- Additional reused water 3- Desalination 4- Transfer  
 421 water from north of Costa Brava

### 422 3.3. Survey

423 The survey in the case of Port de la Selva

424 Second step; Asking experts in Costa Brava consortium (about three experts in each group  
 425 of Water planners, hotel managers and environmental NGO) about the importance of different  
 426 variables in 5 aspects (Economic, Technical, Environmental, Social, Political). The questionnaire  
 427 have been sent them by email and phone interview.

428 Degree of consensus for selecting the variables: 1. Survey analysis: Finding the most impor-  
 429 tant factors which are more than 3.5 and their weights by average and consensus degree among  
 430 experts (18 among 33):

431 2. The process of selecting and weighting the final variables:

432 In order to handle with uncertainty and ambiguity of the responses from experts, linguistic  
 433 terms have been used in the process of experts' assessment. From the list of 33 variables from  
 434 the literature and proposed by experts, the final variables have been ordered using simultaneously  
 435 two criteria: First, the qualitative median, and secondly, the length of the connected union among  
 436 the experts' assessments.

437 This order has been performed imposing the higher qualitative median and the less length of  
 438 the connected union among qualitative assessments as a measure of a degree of consensus among  
 439 a set of experts' opinions. This order has been used to select the final variables and to compute  
 440 their weights for the decision-making process.

#### 441 4. Results

##### 442 4.1. Quantitative Model Results

443 Results from the quantitative model are summarized in Table 3 for a 5% increase in demands  
 444 and in Table 3 for a more unlikely 100% increase in demands. The results are also shown in  
 445 Figure 5.

446 As seen in Table 3 and in Figure 5a to c, there is not enough water to meet the combination  
 447 of expected growth in water demands and changes in rainfall. In the Business as usual (BAU)  
 448 scenario this results in non-served water. For a 5% increase in demands, wastewater generated  
 449 from the municipal system is a maximum of 12,000  $m^3$ , which can be covered by the existing  
 450 reuse capacity. Thus no additional reutilization capacity is needed for this case. The most ex-  
 451 pensive option is installing a desalination plant, which also consumes the most energy and has  
 452 the highest water losses. Water transfer from Llanca offers an alternative with which all the ad-  
 453 ditional water demand can be met at a lower cost than desalination. Water transfers will still  
 454 require additional energy and comes with the risk of future water problems at the region from  
 455 where the water is collected. The current transfer line is built from Llanca which is only 7 km  
 456 away. A longer transfer line will obviously mean higher costs, more energy consumption and  
 457 greater water losses.

458 The alternative for additional water reuse becomes interesting when the amount of wastewater  
 459 generated becomes greater than the existing capacity. An additional case is analyzed in which the  
 460 demand is increased by 100%. The results for this case are shown in in Table 4 and in Figure 5d  
 461 to f. As seen in Figure 5d additional reuse capacity is able to relieve a part of the non-served  
 462 water. The remaining results are similar to before with water transfer from the city of Llanca  
 463 being the cheaper than desalination and also losing less water.

Table 3: Summary of quantitative results (5% increases in demands)

Alternative	Investment Cost (MEur)	OnM Cost (MEurs)	NSW Cost (MEur)	Losses (%)	Energy (GWh)
BAU	-	0.24	0.65	0.19	1.37
Additional Reuse	-	0.24	0.65	0.19	1.37
Desalination	0.86	0.43	-	0.47	3.90
Transfer	0.10	0.33	-	0.36	2.12

Table 4: Summary of quantitative results (100% increases in demands)

<b>Alternative</b>	<b>Investment Cost (MEur)</b>	<b>OnM Cost (MEurs)</b>	<b>NSW Cost (MEur)</b>	<b>Losses (%)</b>	<b>Energy (GWh)</b>
BAU	-	0.26	5.95	0.21	1.44
Additional Reuse	0.02	0.26	5.74	0.21	1.45
Desalination	2.43	0.87	-	1.05	9.05
Transfer	0.27	0.57	-	0.74	4.04



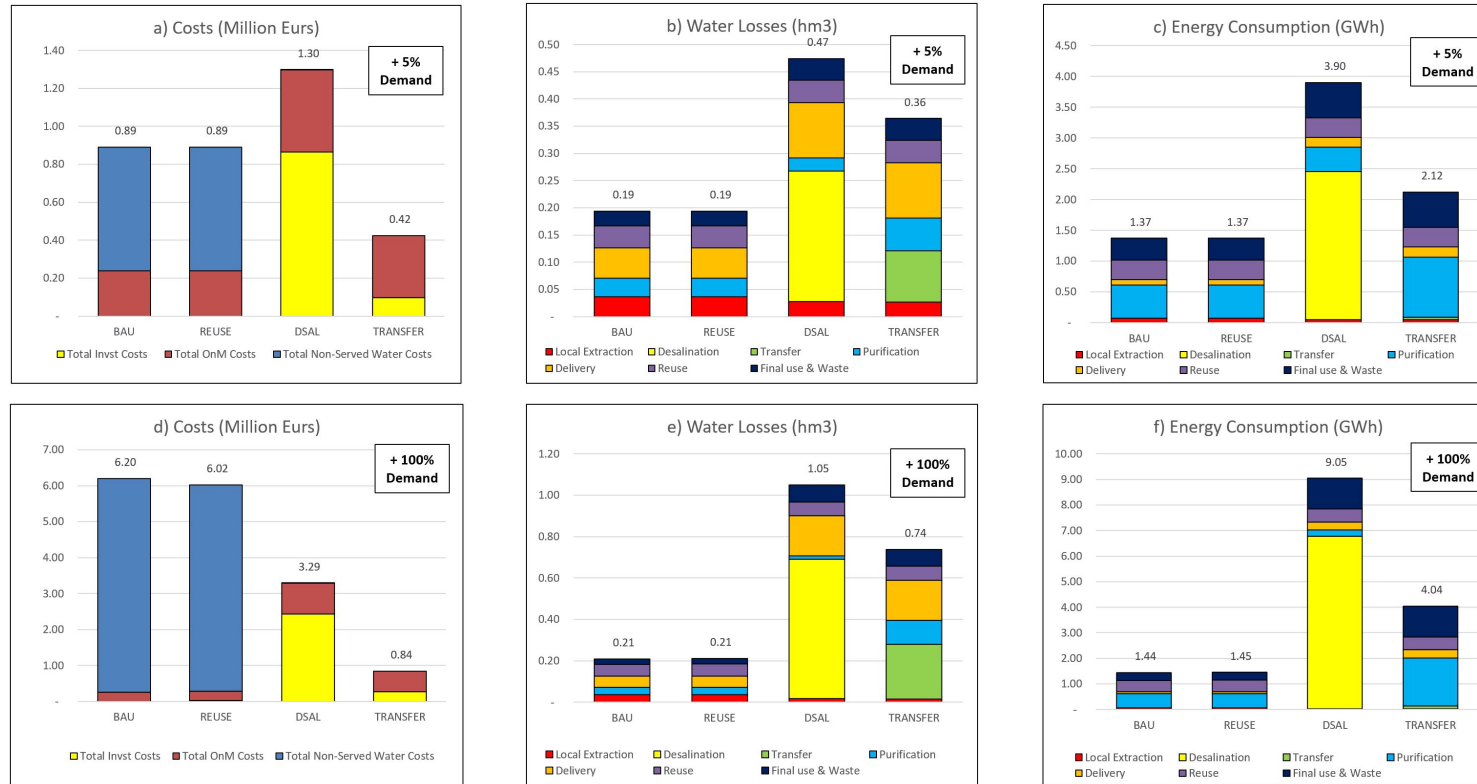


Figure 5: Results

464 4.2. *Decision Matrix evaluated by experts for the case of El port de la Selva*

465 - Data from Zarrar (using their model for 4 criteria) and - Arayeh asking 2-3 experts about  
466 evaluation of selected criteria for each scenario, using basic and non-basic labels (linguistic  
467 terms).

468 4.3. *Normalized decision matrix*

469 In this step we need thresholds for quantitative variables (Indifference threshold) for normal-  
470 izing their value to the range of linguistic terms.

471 4.4. *Group weighted decision matrix*

472 4.5. *Application of Q-TOPSIS to rank scenarios*

473 Calculation of QCCi Ranking alternatives

## 474 **5. Conclusions**

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